

## OBJECTS AND REASONS

This Bill would make provision

- (a) for the implementation of the provisions of the Convention on International Trade in Endangered Species of Wild Fauna and Flora; and
- (b) for related matters.

### *Arrangement of Sections*

#### *Section*

#### PART I

##### *Preliminary*

1. Short title.
2. Interpretation.

#### PART II

##### *Application*

3. Force of law.
  4. Application and amendment of the Convention.
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*Section*

PART III

*Establishment and Administration*

5. Establishment of Management and Scientific Authorities.
6. Duties of the Management Authority and exemption.
7. Duties of the Scientific Authority.

PART IV

*General*

8. Importation, exportation or re-exportation of species set out in the *Second Schedule*.
9. Exportation of species listed in *Third Schedule*.
10. Application to trade in endangered species.
11. Information to be submitted prior to obtaining permit.
12. Permit or certificate.
13. Form of permit or certificate.
14. Fees.
15. Grounds for refusal to grant permit or certificate.
16. Suspension of permit or certificate.
17. Revocation of permit or certificate.
18. Appeal.
19. Penalty for false declaration.

*Section*

20. Power to require information.
21. Power to require scheduled species to be marked.
22. Ports of entry and exit.
23. Traders.
24. Offence to possess or sell any species without a permit.
25. Inspectors.
26. Stop, entry and search.
27. Obstructing inspectors.
28. Orders by magistrates.
29. Forfeiture of specimens seized.
30. Search warrant.
31. Detention.
32. Records.
33. Failure to keep records.

**PART V***Miscellaneous*

34. Offences by a Corporation.
  35. Regulations.
  36. Commencement.
-

*Section*

FIRST SCHEDULE

SECOND SCHEDULE

THIRD SCHEDULE

FOURTH SCHEDULE

FIFTH SCHEDULE

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BARBADOS

A Bill entitled

An Act to provide for the implementation of the provisions of the Convention on *International Trade in Endangered Species of Wild Fauna and Flora* and for related matters.

ENACTED by the Parliament of Barbados as follows:

PART I

*Preliminary*

1. This Act may be cited as the *International Trade in Endangered Species of Wild Fauna and Flora Act, 2006*. Short title.

*[Signature]*  
2006-01-26

Interpreta-  
tion.

**2. In this Act,**

"artificially propagated" refers only to plants grown by man from seeds, cuttings, callus tissues, spores or other propagules under controlled conditions;

"badge" means the form of identification provided by the Management Authority;

"bred in captivity" refers only to offspring, including eggs, born or otherwise produced in a controlled environment of parents that mated or otherwise transmitted their gametes in a controlled environment;

"Convention" means the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) signed at Washington D.C. on the 3rd day of March, 1973 as amended in Bonn on 22nd June, 1979; the text of which is contained in the *First Schedule* to this Act;

First  
Schedule.

"conveyance" includes any vessel, aircraft, vehicle or mode of transport;

Second  
Schedule.

"export" means to take or cause to be taken out of Barbados by sea or air any species set out in the *Second Schedule* other than scheduled species in transit in Barbados;

Second  
Schedule.

"import" means to bring into Barbados whether by sea or air any species set out in the *Second Schedule*;

"inspector" means a public officer designated as an inspector under section 25;

"international trade" includes any exportation, re-exportation, importation or introduction from the sea of a specimen of a species covered by Customs Regulations;

"intransit" includes any occasion on which a plant or animal species or specimen remains on board an aircraft, ship or other form of transport and is in the process of shipment to a named consignee in another country;

"introduction from the sea" means the transportation into Barbados of specimens of any species which were taken from the marine environment not under the jurisdiction of any State including the air space above the sea, the sea bed and the subsoil beneath the sea;

"Management Authority" means the Authority designated under section 5(3);

"Minister" means Minister responsible for the Environment;

"Parties" means the States in which the Convention is in force;

"permit" means a valid permit issued by the Management Authority under section 12;

"re-export" means the exportation of any specimen that has previously been imported whether or not the specimen is in the same form as at the time of importation;

"rescue centre" means an institution designated by the Management Authority to look after the welfare of living specimens that have been forfeited;

"Scientific Authority" means the Authority established by section 5(1)(b);

"species" means any species of animal or plant, subspecies or geographically separate population thereof, listed in the *Second* and *Third Schedules* to this Act;

"specimen" means

(a) any animal or plant, whether alive or dead; or

Second  
Schedule.  
Third  
Schedule.

- (b) any part or derivative which appears from an accompanying document, the packaging or a mark or label or from any other circumstances to be a part or derivative of an animal or plant of species included in the *Second* and *Third Schedules* to this Act.

Second  
Schedule.  
Third  
Schedule.

## PART II

### *Application*

Force of  
law.

3. The Convention has the force of law in Barbados.

Application  
and  
amendment  
of the  
Conven-  
tion.  
First  
Schedule.  
Second  
Schedule.

4. (1) This Act shall apply to all animal and plant species listed in the *Second* and *Third Schedules* to this Act.

(2) Where any amendment to the Convention is adopted by the Parties, the Minister may by order amend the *First* and *Second Schedules* to give effect thereto.

(3) Any order made under subsection (2) may contain such consequential, supplementary or ancillary provisions as appear to the Minister to be necessary or expedient for the purpose of giving due effect to the amendment adopted under subsection (2) and may contain references to specific Articles of the Convention.

(4) Every order made under this section is subject to negative resolution.

First  
Schedule.

(5) Where the *First Schedule* is amended in accordance with subsection (2), any reference in this Act to the Convention shall, unless the context otherwise requires, be construed as a reference to the Convention as so amended.



## PART III

*Establishment and Administration*

5. (1) There is established

(a) a Management Authority; and

(b) a Scientific Authority

for the purpose of establishing control over the international trade in any specimen of species listed in the *Second Schedule*.

(2) The provisions of the *Fourth Schedule* shall have effect as to the constitution of the Scientific Authority and otherwise in relation thereto.

(3) The Minister shall by instrument in writing designate the Ministry responsible for the Environment as the Management Authority responsible for enforcing the provisions of the Convention, and a notice to this effect shall be published in the *Official Gazette*.

6. (1) The Management Authority designated under section 5(3) shall be responsible for

(a) issuing permits and certificates in respect of the importation, exportation and re-exportation of endangered species of wild fauna and flora in accordance with the provisions of the Convention and stipulating any conditions that may be attached to the permits or certificates;

(b) maintaining records of international trade in the species listed in the *Second Schedule*;

(c) preparing annual reports concerning international trade in species listed in the *Second Schedule* and submitting the reports to the Secretariat responsible for the Convention by the 31st October of the year following the year to which the report refers;

Establishment of Management and Scientific Authorities.

Second Schedule.

Fourth Schedule.

Duties of the Management Authority and exemption.

Second Schedule.

- (d) advising the Minister on matters of policy relating to international trade in endangered species of wild fauna and flora, and on any action to be taken for the implementation and enforcement of the Convention;
  - (e) choosing in consultation with the Scientific Authority a rescue centre for species seized and forfeited in accordance with section 29(2)(b);
  - (f) suspending any permits or certificates for an indefinite period or for a specific period where a condition of the permit, certificate or the Convention is breached;
  - (g) consulting with the Scientific Authority regarding proposals for amendment of the *Second Schedule*;
  - (h) promoting public awareness of species of wild fauna and flora that are threatened by international trade and fostering an understanding of the importance of wild fauna and flora to the conservation of the environment;
  - (i) performing such other functions pertaining to international trade in endangered species of wild fauna and flora as may be assigned to it by the Minister;
  - (j) determining, after consultation with the Scientific Authority and any other Ministry, the method of shipment including the container and other packaging requirements necessary for the transportation of a specimen specified in the *Second and Third Schedules*;
  - (k) assessing whether an importer can adequately provide the necessary accommodation for a specimen; and
  - (l) providing adequate accommodation for a seized or forfeited specimen.
- (2) The Minister may by order and with the approval of the Management Authority amend the *Third Schedule*.
- (3) An order made under subsection (2) is subject to negative resolution.

Second  
Schedule.  
Third  
Schedule.

Third  
Schedule.

(4) The Management Authority shall grant the exemptions specified in Article VII of the Convention.

7. (1) The Scientific Authority is responsible for advising the Management Authority on the matters set out in subsection (2) and any other matters relating to the Convention. Duties of the Scientific Authority.

(2) The Scientific Authority shall

(a) advise the Management Authority on

- (i) whether any species is vulnerable, threatened, endangered or extinct;
- (ii) matters in respect of which advice is sought by the Management Authority;
- (iii) policy matters relating to international trade in endangered species of wild fauna and flora;
- (iv) whether a proposed importation, exportation, re-exportation or introduction from the sea of specimen specified in the *Second* and *Third Schedules* will be detrimental to the survival of that species and on suitable measures to be taken to ensure the protection of the species; Second Schedule.  
Third Schedule.
- (v) whether or not, in the case of a proposed importation of a live specimen of species listed in the *Second* or *Third Schedules* the Scientific Authority is satisfied that the proposed recipient of the specimen of species is suitably equipped to house and care for the specimen;
- (vi) monitoring the permits issued and the actual trade in specimens listed in the *Second* or *Third Schedules* and advising on suitable measures to be taken to limit the grant of export permits for that species when it determines that the limitation is necessary to maintain the species;

- (vii) all technical matters pertaining to the Convention including any amendments to be made to Appendix I, II or III of the Convention;
- (b) recommend, initiate, carry out or support research which is relevant to any of its functions; and
- (c) perform such other functions pertaining to international trade in endangered species of wild fauna and flora as may be assigned to it by the Management Authority.

#### PART IV

##### *General*

Importation, exportation or re-exportation of specimens set out in the Second Schedule.

8. (1) No person shall import, export, re-export or introduce from the sea any species listed in Appendix I, II or III of the Convention as set out in the *Second Schedule* unless that importation, exportation, re-exportation or introduction from the sea is in compliance with the

- (a) requirements as set out in Articles III, IV and V of the Convention;
  - (b) requirements of the
    - (i) *Animals (Disease and Importation) Act*;
    - (ii) *Plant, Pest and Disease (Eradication) Act*;
    - (iii) *Plant, Pest and Disease (Import Control) Act*;
    - (iv) *Fisheries Act*;
    - (v) *Wild Birds Protection Act*; and
    - (vi) *Coastal Zone Management Act, 1998*; and
- Cap. 253.
- Cap. 266A.
- Cap. 266.
- Cap. 391.
- Cap. 398.

- (c) any other legislation that refers to plants or animals.
- (2) No person shall
  - (a) have in his possession or under his control, or
  - (b) offer or expose for sale or display to the public,

any species which has been imported in contravention of subsection (1).

(3) A person who contravenes subsection (1) or (2) is guilty of an offence, and

- (a) is liable on summary conviction to a fine of \$75 000 or to imprisonment for a term of 2 years or to both; and
- (b) in the case of a second or subsequent conviction, is liable on summary conviction to a fine of \$150 000 or to imprisonment for a term of 3 years or to both.

9. (1) No person shall export any species listed in the *Third Schedule* unless

Exportation of species listed in Third Schedule.

- (a) the person is the holder of an export permit; and
- (b) the exportation is in compliance with the requirements set out in section 8(1).

(2) A person who contravenes subsection (1) is guilty of an offence and

- (a) is liable on summary conviction to a fine of \$75 000 or to imprisonment for a term of 2 years or to both; and

(b) in the case of a second or subsequent conviction, is liable on summary conviction to a fine of \$150 000 or to imprisonment for a term of 3 years or to both.

Application  
to trade in  
endangered  
species.  
Second  
Schedule.  
Third  
Schedule.

10. (1) Every person who proposes to conduct international trade in any specimen of species listed in the *Second Schedule* shall apply to the Management Authority for the appropriate permit or certificate as stipulated under Articles III, IV and V of the Convention or in the case of species in the *Third Schedule* as stipulated by the Minister responsible for the Environment.

Fifth  
Schedule.

(2) An application under subsection (1) shall be in the form set out in the *Fifth Schedule* and shall be accompanied by the fee prescribed under section 14.

(3) The Management Authority may in relation to an application made under subsection (1)

(a) grant the permit or certificate either unconditionally or subject to such conditions as may be specified in the permit or certificate; or

(b) refuse to grant the permit or certificate.

(4) Where the Management Authority refuses to grant a permit or certificate under this section, it shall inform the applicant in writing stating the reasons therefor.

Informa-  
tion to be  
submitted  
prior to  
obtaining  
permit.

11. A person who wishes to obtain a permit under this Act shall submit the following information to the Management Authority before applying for the permit:

(a) the type of permit required;

(b) the importer's and exporter's name, address, telephone, facsimile number and email address;

(c) the purpose of the transaction, whether it be

- (i) commercial;
  - (ii) personal; or
  - (iii) scientific research;
- (d) the scientific name of the specimen to be imported, exported, re-exported or introduced from the sea;
- (e) the common name of the specimen to be imported, exported, re-exported or introduced from the sea;
- (f) the quantity of the specimen to be imported, exported, re-exported or introduced from the sea;
- (g) a physical description of the specimen to be imported, exported, re-exported or introduced from the sea; and
- (h) evidence of the source of the specimen, whether
- (i) taken from the wild;
  - (ii) bred in captivity or artificially propagated; and
  - (iii) confiscated or seized specimens.

**12. (1) The Management Authority may issue a permit or certificate for the importation, exportation, re-exportation or introduction from the sea of any species listed in the *Second Schedule*.**

Permit or  
certificate.  
Second  
Schedule.

(2) A permit or certificate issued by the Management Authority is not transferrable.

(3) An export permit or certificate issued by the Management Authority is valid for a period of 6 months from the date of issue.

(4) An import permit issued by the Management Authority is valid for a period of 12 months from the date of issue.

(5) Every consignment of species shall require a separate permit or certificate.

Form of  
permit or  
certificate.

**13. (1)** Every permit or certificate required under this Act shall be issued by the Management Authority.

Second  
Schedule.

(2) The permits and certificates issued with respect to the species listed in Appendices I, II and III of the *Second Schedule* shall conform with the provisions of the Convention and any Resolutions of the Conference of the Parties to the Convention on International Trade in Endangered Species of Wild Fauna and Flora.

Third  
Schedule.

(3) The permits and certificates issued with respect to the species listed in the *Third Schedule* shall be in the forms prescribed by the Ministry.

Fees.

**14.** The Minister may, with the approval of the Minister of Finance, by order prescribe the fees payable in respect of the grant of any permit or certificate and such other service as the Management Authority may determine.

Grounds  
for refusal  
to grant  
permit or  
certificate.

**15.** The Management Authority may refuse to grant a permit or certificate where

- (a) the Scientific Authority recommends such refusal on the ground that the grant of the permit or certificate would not be in the public interest, having regard to such factors as the Scientific Authority considers relevant, including
  - (i) the need for the protection of certain species of wild fauna or flora from over-exploitation through international trade;
  - (ii) the preservation of the character of the environment, including the fauna or flora;



- (iii) the availability of the natural environment for the enjoyment of the public;
- (b) an application contains or is based on false or misleading representation or information which is false in a material particular;
- (c) in the opinion of the Management Authority the applicant cannot provide adequate accommodation for the specimen.

16. (1) Subject to subsection (2), the Management Authority may suspend a permit or certificate where

Suspension  
of permit  
or certifi-  
cate.

- (a) the holder is in breach of
  - (i) any provision of this Act or any Regulations made hereunder or any other enactment providing for the protection, conservation and management of natural resources or the environment; or
  - (ii) any term or condition subject to which the permit or certificate is granted;
- (b) the holder notifies the Management Authority in writing that he intends to cease the activities to which the permit or certificate relates for the period stated in the notice.

(2) The Management Authority shall, within 6 weeks of suspending a permit or certificate, notify the holder in writing of

- (a) the reasons for the suspension;
- (b) require the holder of the permit or certificate, in the case of a breach, to remedy the breach within the time specified in the notice; and

(c) state that the permit or certificate is to be returned within a specified time to the Management Authority where the breach has not been remedied.

(3) A holder of a permit or certificate who is served with a notice under subsection (2) shall, after remedying the breach which gave rise to the suspension, notify the Management Authority in writing that the breach has been remedied.

(4) The Management Authority shall, upon receipt of a notice referred to in subsection (3), cause an inspection to be carried out and if the Management Authority is satisfied that the breach has been remedied, it shall withdraw the notice of suspension.

(5) A holder of a permit or certificate who without reasonable excuse fails to comply with paragraphs (b) and (c) of subsection (2) is guilty of an offence and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

Revocation  
of permit  
or certifi-  
cate.

17. (1) The Management Authority may revoke a permit or certificate where it is satisfied that

- (a) the application contained any false or misleading information in any material particular;
- (b) the holder has failed to remedy a breach which gave rise to the suspension of the permit or certificate under section 16, within the time specified in the notice under subsection (2) of that section;
- (c) there has been a material change in the circumstances which existed at the time the permit or certificate was granted which would justify such revocation.

**18. (1)** A person who is aggrieved by a decision of the **Appeal**.  
Management Authority to

- (a) grant a permit or certificate; or
- (b) suspend, or revoke a permit or certificate;

may appeal in writing to the Minister within 6 weeks of the date of notification of the decision.

(2) The appeal shall set out the grounds of appeal and shall be accompanied by copies of any correspondence, documents or statements that are relevant to the appeal.

(3) Notice in writing of the appeal together with copies of the correspondence, documents or statements referred to in subsection (2) shall be served on the Management Authority at the time when the appeal is lodged.

(4) The Minister shall, within 7 days of the receipt of the appeal under subsection (1), request the Management Authority to furnish him, within 14 days, with a statement in writing setting out the reasons for its decision.

(5) The Minister may order that any books, papers, documents or statements, relating to the appeal which are in the possession of the appellant or the Management Authority be produced at the hearing of the appeal.

(6) The Minister shall cause all parties to the appeal to be informed

- (a) of the date and time of the hearing of the appeal;
- (b) that they may appear themselves or be represented by their attorneys-at-law; and
- (c) that they may summon witnesses in their cause.

Penalty for  
false  
declaration.

**19.** Any person who, being required by this Act or any regulations made hereunder,

- (a) fails to make or furnish any declaration, statement, representation or information;
- (b) fails to produce any permit or who, for the purpose of obtaining a permit makes or furnishes any declaration, statement, representation or information that is false in a material particular; or
- (c) produces any permit which he knows or has reason to believe
  - (i) is false in any material particular; or
  - (ii) has not been given by the person by whom it purports to have been given, or has been in any way altered or tampered with,

is guilty of an offence and is liable on summary conviction to a fine of \$75 000 or to imprisonment for a term of 2 years or to both.

Power to  
require  
informa-  
tion.

**20.** (1) Where the Management Authority has reason to suspect that any scheduled species found in any premises or conveyance or in the possession of any person has been imported in contravention of this Act, the Management Authority may require the person who has in his possession, custody or under his control the scheduled species to furnish the required permit for the species and such other information as the Management Authority may require.

(2) A person who without reasonable excuse fails to comply with subsection (1) is guilty of an offence and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

21. (1) The Management Authority may require an importer, exporter or re-exporter of a scheduled species to brand, label or otherwise mark a scheduled species, and shall notify the importer, exporter or re-exporter that the scheduled species should be branded, labelled or otherwise marked within 28 days of receiving the notification.

Power to require scheduled species to be marked.

(2) An importer, exporter or re-exporter who fails to comply with subsection (1) is guilty of an offence, and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

(3) Notwithstanding subsection (1) the Management Authority may brand, label or mark any scheduled species.

(4) A person who alters, defaces or erases any brand, label or mark used by the Management Authority to individually and permanently identify a specimen is guilty of an offence and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

22. The Minister may, after consultation with the Management Authority, for the purpose of controlling or regulating the importation, exportation, re-exportation or introduction from the sea of a species, make an order specifying the port of entry or exit at which the species listed in the *Second Schedule* may be imported or exported.

Port of entry and exit.

Second Schedule.

23. (1) Every person who wishes to engage in commercial international trade in a species listed in the *Second* or *Third Schedules* and that is captive bred or artificially propagated shall apply to be registered as a trader with the Management Authority.

Traders.

Second Schedule.  
Third Schedule.

(2) Every person who is registered under subsection (1) shall keep records of all species traded and of any transaction with respect to the species.

(3) The Management Authority may inspect the records, books and premises of any trader registered in accordance with subsection (1).

(4) Any trader who obstructs or assaults an inspector in the execution of his duty under this Act is guilty of an offence and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

Offence to possess or sell any species without a permit.

24. (1) No person shall

- (a) have in his possession; or
- (b) offer or expose for sale

Second Schedule.  
Third Schedule.

any specimen listed in the *Second* or *Third Schedules* for the purpose of commercial international trade without being registered as a trader.

(2) Every person who engages in commercial international trade in any specimen of a species without being registered as a trader is guilty of an offence and is liable on summary conviction to a fine of \$75 000 or to imprisonment for a term of 2 years or to both.

Inspectors.

25. (1) The Minister may on the advice of

- (a) the Chief Agricultural Officer;
- (b) the Senior Veterinary Officer; or
- (c) the Senior Environmental Officer, Ministry of the Environment

by instrument in writing designate public officers to discharge the functions of inspectors under this Act.

(2) Every inspector shall

- (a) be charged with the responsibility of enforcing the provisions of this Act;
- (b) have all the powers, and privileges, rights and protection of a member of the Police Force in the performance of their duties related to the enforcement functions under subsection (1).

(3) The specific powers conferred by this Act on inspectors are conferred without prejudice to the generality of the powers, rights, privileges and protection mentioned.

(4) When acting on a general or particular request of an inspector, a member of the Police Force shall have in addition to such powers as are conferred on him otherwise than by this Act, all powers conferred on an inspector by this Act.

**26. (1)** For the purposes of this Act, an inspector may, without a warrant or court order at any reasonable time

Stop, entry  
and search.

(a) enter and search any place

- (i) where the inspector reasonably believes an offence has been committed or is imminent; or
- (ii) where a specimen of species is being stored and inspect any book, document, permit, certificate, record or thing relating thereto;

(b) stop and search any vehicle in which he has reasonable grounds to believe that specimen of species and illegally being transported;

(c) seize any vessel together with its stores and cargo or vehicle which he has reasonable grounds to believe has been used in the commission of an offence or in respect of which the offence has been committed; or

(d) make reasonable inquires of any person, orally or in writing.

(2) A person who operates a vehicle or vessel shall stop the vehicle or vessel when required to do so by an inspector who identifies himself as such and who is acting under paragraphs (b) or (c) of subsection (1).

(3) An inspector who exercises a power under subsection (1) shall identify himself as such by the production of his badge and shall explain the purpose of the inspection.

(4) A power referred to under paragraph (a) of subsection (1) shall not be exercised in relation to a dwelling house except under the authority of an order made under section 28.

Obstructing  
inspectors.

27. Any person who obstructs, assaults, threatens with violence, offers a bribe, bribes or otherwise interferes with an inspector in the exercise of the powers conferred on him under this Act, or attempts to prevent him from executing his duties is guilty of an offence and is liable on summary conviction to a fine of \$40 000 or to imprisonment for a term of 12 months or to both.

Orders by  
magistrates.

28. (1) Where a magistrate is satisfied on evidence on oath by an inspector that it would be reasonable for the inspector to exercise a power under section 26 and that

(a) the power is to be exercised in relation to a dwelling house; or

(b) the inspector may not be able to effectively carry out his duties without an order under this section because



- (i) no occupier is present to grant access to a place, vehicle or vessel that is locked or is otherwise inaccessible;
- (ii) a person has prevented the inspector from exercising a power under section 26(1);
- (iii) there is reasonable ground to believe that a person may prevent the inspector from exercising a power under section 26(1); or
- (iv) there is reasonable ground to believe that an attempt by the inspector to exercise a power under section 26(1) without the order might otherwise defeat the purpose thereof or endanger human life, human health or property,

the magistrate may issue or renew an order authorising the inspector to exercise any power under section 26(1) that is specified in the order for the period of time specified in the order.

(2) An order under this section expires not later than 30 days after the date on which it is made unless renewed and may be renewed for any reason mentioned in subsection (1) before or after expiry for one or more periods each of which is not more than 30 days.

(3) An order under this section shall be carried out during daylight hours unless the order authorises otherwise.

(4) An order under this section may be issued or renewed on application notwithstanding that no notice of the application is given to any person who may be affected thereby.

Forfeiture  
of speci-  
mens  
seized.

**29. (1)** Every specimen of a species in respect of which there is a conviction of an offence under this Act shall be forfeited to the Crown.

(2) Where any specimen of a species is forfeited under subsection (1), the magistrate's court that has ordered the forfeiture may, on the application by the Management Authority, order that the specimen be

- (a) returned to the wild;
- (b) stored, kept in or placed under the control of the Management Authority at a rescue centre; or
- (c) returned to its owner in the country of origin at the expense of that State, or to such place as the Management Authority deems appropriate.

Search  
warrant.

**30.** Where a magistrate is satisfied by information on oath that there is reasonable ground for suspecting

- (a) that any specimen to which this Act applies is in the possession of or under the control of any person on any premises, in contravention of the provisions of this Act or of any regulations made hereunder; or
- (b) that there is in the possession of or under the control of any person on any premises in Barbados, any document directly or indirectly relating to or connected with any transaction or dealing in any such specimen which
  - (i) if carried out would be an offence under this Act; or
  - (ii) relates to a transaction or dealing carried out or intended to be carried out in any place outside Barbados and which would be an offence against the provisions of any corresponding law in force in that place

the magistrate may grant a search warrant authorizing the inspector named in the warrant, at any time or times within one month from the date of the warrant, to enter and search the premises and seize and detain any document or species or detain any person found in the premises.

**31. (1)** Where a specimen is forfeited in accordance with section 29 and is detained at a rescue centre, the fees incurred with respect to the Detention.

- (a) seizure;
- (b) transportation;
- (c) detention;
- (d) feeding;
- (e) medical treatment; or
- (f) destruction

of the specimen are recoverable from the person from whom the specimen was seized until the specimen is disposed of in accordance with paragraph (a) or (c) of section 29(2).

(2) Where a specimen forfeited under section 29 is found to be suffering from a curable disease the specimen shall be given medical treatment and may upon recovery be returned to the wild, remain in the rescue centre or be returned to the state of export.

**32.** Every person to whom a permit or certificate is granted pursuant to this Act shall keep such books, documents, records or things as may be prescribed relating to the activities to which the permit or certificate relates. Records.

33. Every holder of a permit or a certificate who

(a) fails to keep any records or other document required by this Act or any Regulations made hereunder;

(b) fails without reasonable excuse to produce such books, records or other documents to an authorised officer upon being requested to do so

Failure to  
keep  
records.

is guilty of an offence and is liable on summary conviction to a fine of \$10 000 or to imprisonment for a term of 6 months or to both.

## PART V

### *Miscellaneous*

34. Where an offence is committed by a body corporate under this Act or any regulations made hereunder are contravened, and it is proven that the offence was committed with the consent or connivance of a director or manager of the body corporate, that director or manager as well as the body corporate is guilty of an offence, and is liable on summary conviction to a fine of \$150 000 or to imprisonment for a term of 3 years or to both.

Offences by  
a corpora-  
tion.

35. The Minister may make regulations

(a) providing for the carrying into effect of the Convention and any amendment thereto and international standards and recommended practices for the protection and conservation of endangered species of wild fauna and flora;

(b) providing for the issue of permits for the importation, exportation and re-exportation of scheduled species;

(c) providing for the cancellation or suspension of permits;

Regulations.

- (d) providing for the importation, exportation, re-exportation and introduction from the sea of any scheduled species;
- (e) authorising the sale, possession, control or other dealing in scheduled species, and prescribing the circumstances and conditions under which a person may be in possession or control of or sell or otherwise deal with any scheduled species;
- (f) relating to the branding, packaging, marking either permanently or temporarily and labelling of scheduled species for the purpose of identification; and
- (g) generally for carrying out the provisions of this Act.

36. This Act comes into operation on a date to be fixed by proclamation. Commence-  
ment.

FIRST SCHEDULE

*(Section 2,4)*

**Convention of International Trade in Endangered  
Species of Wild Fauna and Flora**

The Contracting States,

Recognizing that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;

Conscious of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;

Recognizing that peoples and States are and should be the best protectors of their own wild fauna and flora;

Recognizing in addition, that international co-operation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade;

Convinced of the urgency of taking appropriate measures to this end;

Have agreed as follows:

**Article 1**

**Definitions**

For the purpose of the present Convention, unless the context otherwise requires:

- (a) "species" means any species, subspecies, or geographically separate population thereof;
- (b) "specimen" means:
  - (i) any animal or plant, whether alive or dead;

- (ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and
- (iii) in the case of a plant: for species included in Appendix I, any readily recognizable part or derivative thereof; and for species included in Appendices II and III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;
- (c) "trade" means export, re-export, import and introduction from the sea;
- (d) "re-export" means export of any specimen that has previously been imported;
- (e) "introduction from the sea" means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;
- (f) "Scientific Authority" means a national scientific authority designated in accordance with Article IX;
- (g) "Management Authority" means a national management authority designated in accordance with Article IX;
- (h) "Party" means a State for which the present Convention has entered into force.

## Article II

### Fundamental Principles

1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation in order not to endanger further their survival and must only be authorized in exceptional circumstances.

2. Appendix II shall include:

- (a) all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and
- (b) other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.

3. Appendix III shall include all species which any Party identified as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the co-operation of other parties in the control of trade.

4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.

### **Article III**

#### **Regulation of Trade in Specimens of Species included in Appendix I**

1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
- (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;



- (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
- (d) a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.

3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;
- (b) a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
- (c) a Management Authority of a State of import is satisfied that the specimen is not to be used for primarily commercial purposes.

4. The re-export of any specimen of a species included in Appendix I shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;
- (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
- (c) a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.

5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;
- (b) a Scientific Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
- (c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

#### **Article IV**

##### **Regulation of Trade in Specimens of Species included in Appendix II**

1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
- (b) a Scientific Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
- (c) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped to minimize the risk of injury, damage to health or cruel treatment.

3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits for specimens of that species.

4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.

5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and
- (b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped to minimize the risk of injury, damage to health or cruel treatment.

6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and
- (b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.

7. Certificates referred to in paragraph 6 of this Article may be granted on advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

#### **Article V**

##### **Regulation of Trade in Specimens of Species included in Appendix III**

1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

- (a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and
- (b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped to minimize the risk of injury, damage to health or cruel treatment.

3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.

4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

## Article VI

### Permits and Certificates

1. Permits and certificates granted under the provisions of Articles III, IV and V shall be in accordance with the provisions of this Article.
2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.
3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.
4. Any copies of a permit or certificates issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.
5. A separate permit or certificate shall be required for each consignment of specimens.
6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of that specimen.
7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes "mark" means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as difficult as possible.

**Article VII**

**Exemptions and Other Special Provisions  
Relating to Trade**

1. The provisions of Articles III, IV and V shall not apply to the transit or trans-shipment of specimens through or in the territory of a Party while the specimens remain in Customs control.

2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to that effect.

3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:

- (a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or
- (b) in the case of specimens of species included in Appendix II:
  - (i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;
  - (ii) they are being imported into the owner's State of usual residence; and
  - (iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens;

unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens.

4. Specimens of an animal species included in Appendix I bred in captivity for commercial purpose, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.

5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived therefrom, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Articles III, IV or V.

6. The provisions of Articles III, IV and V shall not apply to the noncommercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant material which carry a label issued or approved by a Management Authority.

7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates or specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:

- (a) the exporter or importer registers full details of such specimens with the Management Authority;
- (b) the specimens are in either of the categories specified in paragraphs 2 or 5 of this Article; and
- (c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk or injury, damage to health or cruel treatment.

**Article VIII****Measures to be taken by the Parties**

1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:

- (a) to penalize trade in, or possession of, such specimens, or both; and
- (b) to provide for the confiscation or return to the State of export of such specimens.

2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for any method of internal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of the measures taken in the application of the provisions of the present Convention.

3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment.

4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:

- (a) the specimen shall be entrusted to a Management Authority of the State of confiscation;
- (b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes to the present Convention; and



- (c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under sub-paragraph (b) of this paragraph, including the choice of a rescue centre or other place.

5. A rescue centre as referred to in paragraph 4 of this Article, means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated.

6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:

- (a) the names and addresses of exporters and importers; and
- (b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question.

7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:

- (a) an annual report containing a summary of the information specified in sub-paragraph (b) of paragraph 6 of this Article; and
- (b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.

8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.

## **Article IX**

### **Management and Scientific Authorities**

1. Each Party shall designate for the purpose of the present Convention:
  - (a) one or more Management Authorities competent to grant permits of certificates on behalf of that Party; and
  - (b) one or more Scientific Authorities.
2. A State depositing an instrument of ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat.
3. Any changes in the designations of authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.
4. Any Management Authority referred to in paragraph 2 of this Article shall if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.

## **Article X**

### **Trade with States not Party to the Convention**

Where export or re-export is to, or import is from, a State not a Party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.

## Article XI

### Conference of the Parties

1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.

2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise, and extraordinary meeting at any time on the written request of at least one-third of the Parties.

3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:

- (a) make such provision as may be necessary to enable the Secretariat to carry out its duties;
- (b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
- (c) review the progress made towards the restoration and conservation of the species included in Appendices I, II and III;
- (d) receive and consider any reports presented by the Secretariat or by any Party; and
- (e) where appropriate, make recommendations for improving the effectiveness of the present Convention.

4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.

5. At any meeting, the Parties may determine and adopt rules of procedure for the meeting.

6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.

7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories which has informed the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the parties present object:

- (a) international agencies or bodies, either governmental or non-governmental, and national governmental agencies and bodies; and
- (b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located.

Once admitted, these observers shall have the right to participate but not to vote.

## **Article XII**

### **The Secretariat**

1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme.

2. The functions of the Secretariat shall be:

- (a) to arrange for and service meetings of the Parties;
- (b) to perform the functions entrusted to it under the provisions of Article XV and XVI of the present Convention;
- (c) to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;
- (d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;

- (e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;
- (f) to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any information which will facilitate identification of specimens of species included in those Appendices;
- (g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the parties may request;
- (h) to make recommendations for the implementation of aims and provisions of the present Convention, including exchange of information of a scientific or technical nature;
- (i) to perform any other function as may be entrusted to it by the Parties.

### **Article XIII**

#### **International Measures**

1. When the Secretariat in the light of information received is satisfied that any species included in Appendices I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.

2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.

3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

**Article XIV**

**Effect on Domestic Legislation and  
International Conventions**

1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:

- (a) stricter domestic measures regarding the conditions for trade, taking, possession or transport of specimens of species included in Appendices I, II and III, or the complete prohibition thereof; or
- (b) domestic measures restricting or prohibiting trade, taking, possession, or transport of species not included in Appendices I, II or III.

2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other Aspects of trade, taking, possession, or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields.

3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention, or international agreement concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external customs control and removing customs control between the Parties thereto insofar as they relate to trade among the States members of that union agreement.

4. A State Party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligation imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.

5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) of the General Assembly of the United Nations nor the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

#### **Article XV**

##### **Amendments to Appendices I and II**

1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:

- (a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of sub-paragraph (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.
- (b) Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.
- (c) Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties.
- (a) Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.
  - (b) For marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring co-ordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and date provided by these bodies and its own findings and recommendations to the Parties as soon as possible.
  - (c) For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.
  - (d) Any Party may, within 60 days of the date on which the Secretariat communicated its recommendation to the Parties under sub-paragraph (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.
  - (e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.
  - (f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter those which make a reservation in accordance with paragraph 3 of this Article.



- (g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h), (i) and (j) of this paragraph.
  - (h) The Secretariat shall notify the Parties that notification of objections has been received.
  - (i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.
  - (j) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.
  - (k) The Secretariat shall notify all Parties of the result of the vote.
  - (l) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
3. During the period of 90 days provided for by sub-paragraph 2 of this Article any Party may by notification in writing to the Depositary Government make a reservation with respect to the amendment.
4. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.

**Article XVI****Appendix III and Amendments thereto**

1. Any Party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.
2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.
3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.
4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in question is included in Appendix III, submit any amendment of such laws and regulations or any new interpretations as they are adopted.

## **Article XVII**

### **Amendment of the Convention**

1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes "Parties present and voting" means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.

3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.

## **Article XVIII**

### **Resolution of Disputes**

1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.

2. If the dispute cannot be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at the Hague and the Parties submitting the dispute shall be bound by the arbitral decision.

**Article XIX**

**Signature**

The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.

**Article XX**

**Ratification, Acceptance, Approval**

The present Convention shall be subject to ratification, acceptance or approval. Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.

**Article XXI**

**Accession**

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.

**Article XXII**

**Entry into Force**

1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.

2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

**Article XXIII**

**Reservations**

1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.

2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:



## SECOND SCHEDULE

(Sections 2,4, 5, 6, 7, 8, 10, 12, 13, 22, 23, 24)

## APPENDICES I, II AND III

as adopted by the Conference of the Parties, valid from 16th October 2003

## Appendix I

## Appendix II

## Appendix III

## FAUNA (ANIMALS)

## PHYLUM CHORDATA

CLASS MAMMALIA  
(MAMMALS)

## MONOTREMATA

Tachyglossidae Echidnas,  
spiny anteaters*Zaglossus* spp.

## DASYUROMORPHIA

Dasyuridae Dunnarts

*Sminthopsis longicaudata*  
*Sminthopsis psammophila*Thylacinidae Tasmanian wolf,  
thylacine*Thylacinus cynocephalus*  
(possibly extinct)

## PERAMELEMORPHIA

Peramelidae Bandicotts

*Chaeropus ecaudatus*  
(possibly extinct)*Macrotis lagotis*  
*Macrotis leucura*  
*Perameles bougainville*

**Appendix I****DIPROTODONTIA**

Phalangeridae Cuscuses

Vombatidae Northern  
Bairy-nosed wombat*Lasiorhinus krefftii*Macropodidae Kangaroos,  
wallabies*Lagostrophus fasciatus*  
*Onychogalea fraenata*  
*Onychogalea lunata*

Potoroidae Rat-kangeroos

*Bettongia* spp.*Caloprymnus campestris*  
(possibly extinct)**SCANDENTIA**

Tupaiidae Tree shrews

**CHIROPTERA**Phllostomdae Broade-nised  
batPteropodidae Fruit bas,  
flying foxes**Appendix II***Phalanger orientalis*  
*Spilocuscus maculatus**Dendrolagus inustus*  
*Dendrolagus ursinus**Lagorchestes hirsutus*

Tupaiidae supp.

*Acerodon* spp. (except the  
species included in Appendix I)*Acerodon jubatus***Appendix III***Platyrrhinus*  
*lineatus*  
(Uruguay)



**Appendix I**

*Acerodon lucifer*  
(possibly extinct)

*Pteropus insularis*  
*Pteropus mariannus*  
*Pteropus molossinus*  
*Pteropus phaeocephalus*  
*Pteropus pilosus*  
*Pteropus samoensis*  
*Pteropus tonganus*

PRIMATES Apes, monkeys

Lemuridae Large Lemurs

*Lemuridae spp.*

Megaladapidae Sportive Lemurs

*Megaladapidae spp.*  
(possibly extinct)

Cheirogaleidae Dwarf lemurs

*Cheirogaleidae spp.*

Indridae Avahi, indrid,  
sifakas, woolly lemurs

*Indridae spp.*

Daubentoniidae

**Appendix II**

*Pteropus spp.* (except the  
species included in Appendix I)

PRIMATES spp. (except the  
species included in Appendix I)

**Appendix III**

**Appendix I**

*Daubentonia*  
*madagascariensis*

Callithricidae Marmosets,  
ramarins

*Callimico goeldii*  
*Callithrix aurita*  
*Callithrix flaviceps*  
*Leontopithecus* spp.  
*Saguinus bicolor*  
*Saguinus geoffroyi*  
*Saguinus leucopus*  
*Saguinus oedipus*

Cebidae New World  
monkeys

*Alouatta coibensis*  
*Alouatta palliata*  
*Alouatta pigra*  
*Ateles geoffroyi frontatus*  
*Ateles geoffroyi panamensis*  
*Brachyteles arachnoides*  
*Cacajao* spp.  
*Chiropotes albinasus*  
*Lagothrix flavicauda*  
*Saimiri oerstedii*

Cercopithecidae Old  
World monkeys

**Appendix II****Appendix III**

## Appendix I

*Cerocebus galeritus galeritus*  
*Cercopithecus diana*  
*Macaca silenus*  
*Mandrillus leucophaeus*  
*Mandrillus sphinx*  
*Nasalis concolor*  
*Nasalis larvatus*  
*Presbytis potenziani*  
*Procolobus pennantii kirkii*  
*Procolobus rufomitratu*  
*Pygathrix* spp.  
*Semnopithecus entellus*  
*Trachypithecus geei*  
*Trachypithecus pileatus*

Hylobatidae Gibbons

*Hylobatidae* spp.

Hominidae Chimpanzees.  
gorilla, orangutan

*Gorilla gorilla*  
*Pan* spp.  
*Pongo pygmaeus*

## XENARTHRA

Myrmecophagidae American  
anteaters

*Myrmecophaga tridactyla*

tamandua  
mexicana  
(Guatemala)

Bradypodidae Three-toed  
sloth

*Bradypus variegatus*

## Appendix II

## Appendix III

## Appendix I

Megalonychidae Two-toed  
sloth

Dasypodidae Armadillos

*Priodontes maximus*

## PHOLIDOTA

Manidae Pangolins

## Appendix II

*Chaetophractus nationi*  
(A zero annual export  
quota has been established.  
All specimens shall be  
deemed to be specimens  
of species included in  
Appendix I and the trade in  
them shall be regulated  
accordingly)

*Manis* spp. (A zero annual  
export quota has been  
established for *Manis*  
*crassicaudata*, *M.*  
*javanica* and *M.*  
*pentadactyla* for  
specimens removed from  
the wild and traded for  
primarily commercial  
purposes)

## Appendix III

*Choloepus*  
*hoffmanni*  
(Costa Rica)

*Cabassous*  
*centralis*  
(Costa Rica)

*Cabassous tatouay*  
(Uruguay)

**Appendix I****LAGOMORPHA**

Leporidae Hispid hare,  
valcano rabbit

*Caprolagus hispidus*  
*Romerolagus diazi*

**RODENTIA**

Sciuridae Ground squirrels,  
tree squirrels

*Cynomys mexicanus*

**Appendix II**

(Ghana)

himalayana

*Ratufa* spp.

Anomaluridae African  
flying squirrels

**Appendix III**

*Epixerus ebii*

*Marmota caudata*

(India)

*Marmota*

(India)

*sciurus deppei*

(Costa Rica)

*Anomalurus beecrofti*

(Ghana)

*Anomalurus derbianus*

(Ghana)

*Anomalurus pelii*

(Ghana)

*Idiurus macrotis*

(Ghana)

**Appendix I**

Muridae Mice, rats

*Leporillus conditor**Pseudomys praeconis**Xeromys myoides**Zyomys pedunculatus*

Hystricidae Crested porcupine

Erethizontidae New World  
porcupines

Agoutidae Paca

Dasyproctidae Agouti

Chinchillidae Chinchilla

*Chinchilla spp.*(specimens of the  
domesticated form are  
not subject to the provisions  
of the Convention)**Appendix II****Appendix III***Hystrix cristata*  
(Ghana)*Sphiggurus*  
*mexicanus*  
(Honduras)  
*Sphiggurus spinosus*  
(Uruguay)*Agouti paca*  
(Honduras)*Dasyprocta*  
*punctata*  
(Honduras)

**Appendix I**

CETACEA Dolphins,  
porpoises, whales

Platanistidae River  
dolphins

*Lipotes vexillifer*  
*Platanista* spp.

Ziphiidae Beaked  
whales, bottles-nosed  
whales

*Berardius* spp.  
*Hyperoodon* spp.

Physeteridae Sperm  
whales

*Physeter catodon*

Delphinidae  
dolphins

*Orcaella brevirostris*  
*Sotalia* spp.  
*Sousa* spp.

**Appendix II**

CETACEA (Except the  
species included in  
Appendix I. A zero  
annual export quota has  
been established for live  
specimens from the Black  
Sea population of  
*Tursiops truncatus* removed  
from the wild and traded  
for primarily commercial  
purposes)

**Appendix III**

**Appendix I**

Phocoenidae Porpoises

*Neophocaena  
phocaenoides**Phocoena sinus*

Eschrichtiidae Grey whale

*Eschrichtius robustus*Balaenopteridae Humpback  
whale, rorquals*Balaenoptera acutorostrata*  
(Except the population of  
West Greenland, which is  
included in Appendix II)*Balaenoptera bonaerensis**Balaenoptera borealis**Balaenoptera edeni**Balaenoptera musculus**Balaenoptera physalus**Megaptera novaeangliae*Balaenidae Bowhead whale,  
right whales*Balaena mysticetus**Ebalaena* spp.Neobalaenidae Pygmy right  
whale*Caperea marginata***CARNIVORA**

Canidae Bush dog, foxes,

**Appendix II****Appendix III**



## Appendix I

wolves

*Canis lupus* (Only the population of Bhutan, India, Nepal and Pakistan; all other populations are included in Appendix II)

*Speothos venaticus*

Ursidae Bears, pandas

## Appendix II

*Canis lupus* (Except the population of Bhutan, India, Nepal and Pakistan, which are included in Appendix I)  
*Cerdocyon thous*  
*Chrysocyon brachyurus*  
*Cuon alpinus*  
*Pseudalopex culpaeus*  
*Pseudalopex griseus*  
*Pseudalopex gymnocercus*

*Vulpes cana*

*Vulpes zerda*

*Ursidae spp.* (Except the species included in Appendix I)

## Appendix III

*Canis aureus* (India)

*Vulpes bengalensis*  
(India)

*Vulpes vulpes griffithi*  
(India)

*Vulpes vulpes montana*  
(India)

*Vulpes vulpes pusilla*  
(India)

**Appendix I**

*Ailuropoda melanoleuca*  
*Ailurus fulgens*  
*Helarctos malayanus*  
*Melursus ursinus*  
*Tremarctos ornatus*  
*Ursus arctos* (Only the  
 population of Bhutanm,  
 China, Mexico and  
 Mongolia; all other  
 populations are  
 included in Appendix II)  
*Ursus arctos isabellinus*  
*Ursus thibetanus*

Procyonidae Coatis,  
 kinkajou, olingos

Mustelidae Badgers,  
 martens, weasels, etc.

Lutrinae Otters

**Appendix II**

*Lutrinae spp.* (Except the  
 species included in  
 Appendix I)

**Appendix III**

*Bassaricyon gabbii*  
 (Costa Rica)  
*Bassariscus*  
*sumichrasti*  
 (Costa Rica)  
*Nasua narica*  
 (Honduras)  
*Nasua nasua*  
*solitaria*  
 (Uruguay)  
*Potos flavus*  
 (Honduras)

## Appendix I

*Aonyx congicus* (Only the populations of Cameroon and Nigeria; all other populations are included in Appendix II)

*Enhydra lutris nereis*

*Lontra felina*

*Lontra longicaudis*

*Lontra provocax*

*Lutra lutra*

*Pteronura brasiliensis*

Mellivorinae Honey Badger

Mephitinae Hog-nosed skunk

Mustelinae Grisons, martens, tayra, weasels

*Mustela nigripes*

## Appendix II

*Conepatus humboldtii*

## Appendix III

*Mellivora capensis*  
(Botswana, Ghana)

*Eira barbara*  
(Honduras)

*Galictis vittata*  
(Costa Rica)

*Martes flavigula*  
(India)

*Martes foina*  
*intermedia*

(India)

*Martes gwathinsii*  
(India)

*Mustela altaica*  
(India)

*Mustela erminea*  
*ferghance* (India)

*Mustela kathiah*  
(India)

*Mustela sibirica*  
(India)

**Appendix I**

Viverridae Binturong,  
civets, falanouc, fossa,  
linsangs, otter-civet,  
palm civets

*Prionodon pardicolor*

Herpestidae Mongooses

**Appendix II**

*Cryptoprocta ferox*  
*Cynogale bennettii*  
*Eupleres goudotii*  
*Fossa fossana*  
*Hemigalus derbyanus*

*Prionodon linsang*

**Appendix III**

*Arctictis binturong*  
(India)  
*Civettictis civetts*  
(Botswana)

*Paguma larvata*  
(India)  
*Paradoxurus*  
*hermaphroditus*  
(India)  
*Paradoxurus*  
*jerdoni* (India)

*Viverra civettina*  
(India)  
*Viverra zibetha*  
(India)  
*Viverricular indica*  
(India)

*Herpestes*  
*brachyurus fusus*  
(India)  
*Herpestes*  
*edwardsii* (India)  
*Herpestes*  
*javanicus*  
*auropunctatus*  
(India)  
*Herpestes urva*  
(India)

**Appendix I**

Hyaenidae Aardwolf

Felidae Cats

*Acinonyx jubatus*  
(Annual export quotas  
for live specimens and  
hunting trophies are  
granted as follows:  
Botswana:5; Namibia:  
50; Zimbabwe: 50.  
The trade in such  
specimens is subject  
to the provisions of  
Article III of the  
Convention)

*Caracal caracal*  
(Only the population  
of Asia; all other  
populations are included  
in Appendix II)

*Catopuma temminckii*  
*Felis nigripes*  
*Herpailurus yaguarondi*  
(Only the populations of  
Central and North  
America; all other  
populations are  
included in Appendix II)

**Appendix II**

*Felidae* spp. (Except the  
species included in  
Appendix I. Specimens of  
the domesticated form are not  
subject to the provisions of  
the Convention)

**Appendix III**

*Herpestes vitticollis*  
(India)

*Proteles cristatus*  
(Botswana)

## Appendix I

*Leopardus pardalis*  
*Leopardus tigrinus*  
*Leopardus wiedii*  
*Lynx pardinus*  
*Neofelis nebulosa*  
*Oncifelis geoffroyi*  
*Oreailurus jacobita*  
*Panthera leo persica*  
*Panthera onca*  
*Panthera pardus*  
*Panthera tigris*  
*Pardofelis marmorata*  
*Prionailurus bengalensis*  
*bengalensis* (Only the  
populations of Bangladesh,  
India and Thailand; all  
other populations are  
included in Appendix II)

*Prionailurus planiceps*  
*Prionailurus rubiginosus*  
(only the population of  
India; all other populations  
are included in Appendix II)

*Puma concolor coryi*  
*Puma concolor costaricensis*  
*Puma concolor cougar*  
*Uncia uncia*

Otariidae Fur seals,  
sealions

*Arctocephalus* spp. (Except  
the species included in  
Appendix I)

*Arctocephalus townsendi*

Odobenidae Walrus

## Appendix III

*Odobenus rosmarus*  
(Canada)

**Appendix I**

## Phocidae Seals

*Monachus spp.*

## PROBOSCIDEA

## Elephantidae Elephants

*Elephas maximus**Loxodonta africana*

(Except the population of Botswana, Namibia, South Africa and Zimbabwe, which are included in Appendix II)

## SIRENIA

## Dugongidae Dugong

*Dugong dugon*

## Trichechidae Manatees

*Trichechus inunguis**Trichechus manatus*

## PERISSODACTYLA

Equidae Horses, wild asses, zebras

**Appendix II***Mirounga leonina*

*Loxodonta africana* (Only the populations of Botswana<sup>1</sup>, Namibia<sup>1</sup>, South Africa<sup>1</sup> and Zimbabwe<sup>2</sup>, all other populations are included in Appendix I)

**Appendix III**

**Appendix I**

*Equus africanus* (Excludes the domesticated form, which is referenced as *Equus asinus*, and is not subject to the provisions of the Convention)

*Equus grevyi*

*Equus hemionus hemionus*

*Equus onager khur*  
*Equus przewalskii*  
*Equus zebra zebra*

Tapiridae Tapirs

*Tapiridae spp.* (Except the species included in Appendix II)

Rhinocerotidae  
Rhinoceroses

*Rhinocerotidae spp.* (Except the subspecies included in Appendix II)

**Appendix II**

*Equus hemionus* (Except the subspecies included in Appendix I)

*Equus kiang*  
*Equus onager* (Except the subspecies included in Appendix I)

*Equus zebra hartmannae*

*Tapirus terrestris*

*Ceratotherium simum simum* (Only the population of South Africa and Swaziland; all other populations are included in Appendix I. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and

**Appendix III**



## Appendix I

## Appendix II

## Appendix III

hunting trophies. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly)

## ARTIODACTYLA

Tragulidae Mouse-deer

*Hyemoschus aquaticus*  
(Ghana)

Suidae Babirusa,  
pygmy hog

*Babyrousa babyrussa*  
*Sus salvanius*

Tayassuidae Peccaries

*Tayassuidae spp.* (Except the species included in Appendix I and the populations of *Pecari tajacu* of Mexico and the United States of America, which are not included in the Appendices)

*Catagonus wagneri*

Hippopotamidae  
Hippopotamuses

*Hexaprotodon liberiensis*  
*Hippopotamus amphibius*

Camelidae Guanaco,  
vicuna

*Lama guanicoe*

*Vicugna vicugna*  
(Except the populations of: Argentina [the populations of the Provinces of Jujuy and Catamarca and the

**Appendix I**

semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; and Peru [the whole population]; which are included in Appendix II)

**Appendix II**

*Vicugna vicugna* (Only the populations of Argentina<sup>3</sup>, [the populations of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]<sup>4</sup> Bolivia<sup>4</sup> [the whole population]; Chile<sup>5</sup>, [population of the Primer Región]; Peru<sup>6</sup> [the whole population]; all other populations are included in Appendix I)

**Appendix III****Moschidae Musk deer**

*Moschus* spp. (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Appendix II)

*Moschus* spp. (Except the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; which are included in Appendix II)

**Appendix I**

Cervidae Deer, guemals,  
muntjacs, pudus

*Axis calamianensis*  
*Axis kuhlii*  
*Axis porcinus annamiticus*  
*Blastocerus dichotomus*  
*Cervus duvaucelii*

*Cervus elaphus hanglu*  
*Cervus eldi*  
*Dama mesopotamica*  
*Hippocamelus* spp.

*Megamuntiacus*  
*vuquanghensis*

*Muntiacus crinifrons*

*Ozotoceros bezoarticus*

*Pudu puda*

Antilocapridae Pronghorn

*Antilocapra americana*  
(Only the population of  
Mexico; no other  
population is included  
in the Appendices)

Bovidae Antelopes,  
cattle, duikers, gazelles,  
goats, sheep. etc.

*Addax nasomaculatus*

**Appendix II**

*Cervus elaphus*  
*bactrianus*

*Pudu mephistophiles*

*Ammotragus lervia*

**Appendix III**

*Cervus elaphus*  
*barbarus* (Tunisia)

*Mazama americana*  
*cerasina* (Guatemala)

*Odocoileus virginianus*  
*mayensis* (Guatemala)

## Appendix I

*Bos gaurus* (Excludes the domesticated form, which is referenced as *Bos frontalis*, and is not subject to the provisions of the Convention)

*Bos mutuus* (Excludes the domesticated form, which is referenced as *Bos grunniens*, and is not subject to the provisions of the Convention)

*Bos sauveli*

*Bubalus depressicornis*  
*Bubalus mindorensis*  
*Bubalus quarlesi*  
*Capra falconeri*

*Cephalophus jentinki*

## Appendix II

*Bison bison athabasca*

*Budorcas taxicolor*

*Cephalophus dorsalis*  
*Cephalophus monticola*  
*Cephalophus ogilbyi*  
*Cephalophus silvicultor*  
*Cephalophus zebra*

*Damaliscus pygargus*  
*pygargus*

## Appendix III

*Antilope cervicapra*  
 (Nepal)

*Bubalus arnee*  
 (Nepal)  
 (Excludes the domesticated form, which is referenced as *Bubalus bubalis*)

*Damaliscus lunatus* (Ghana)

**Appendix I***Gazella dama**Hippotragus niger  
variani**Naemorhedus baileyi*  
*Naemorhedus caudatus*  
*Naemorhedus goral*  
*Naemorhedus sumatraensis*  
*Oryx dammah*  
*Oryx leucoryx**Ovis ammon hodgsonii*  
*Ovis ammon nigrimontana**Ovis orientalis ophion**Ovis vignei vignei*  
*Pantholops hodgsonii*  
*Pseudoryx nghetinhensis*  
*Rupicapra pyrenaica ornata***Appendix II***Kobus leche**Ovis ammon* (Except the  
subspecies included in  
Appendix I)*Ovis canadensis* (Only the  
population of Mexico; no  
other population is included  
in the Appendices)*Ovis vignei* (Except the  
subspecies included in  
Appendix I)*Saiga tatarica***Appendix III***Gazella cuvieri*  
(Tunisia)  
*Gazella dorcas*  
(Tunisia)  
*Gazella*  
*leptoceros*  
(Tunisia)*Tetracerus*  
*quadricornis*  
(Nepal)  
*Tragelaphus*  
*eurycerus*  
(Ghana)  
*Tragelaphus*  
*spekii* (Ghana)

## Appendix I

## Appendix II

## Appendix III

CLASS AVES  
(BIRDS)

## STRUTHIONIFORMES

Struthionidae Ostrich

*Struthio camelus* (Only the population of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Mali, Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Appendices)

## RHEIFORMES

Rheidae Rheas

*Rhea americana*

*Rhea pennata* (Except *Rhea pennata pennata* which is included in Appendix II)

*Rhea pennata pennata*

## TINAMIFORMES

Tinamidae Tinamou

*Tinamus solitarius*

## SPHENISCIFORMES

Spheniscidae Penguins

*Spheniscus demersus**Spheniscus humboldti*

**Appendix I****PODICIPEDIFORMES**

Podicipedidae Grebe

*Podilymbus gigas***PROCELLARIIFORMES**

Diomedidae Albatross

*Diomedea albatrus***PELECANIFORMES**

Pelecanidae Pelican

*Pelecanus crispus*

Sulidae Booby

*Papasula abbotti*

Fregatidae Frigatebird

*Fregata andrewsi***CICONIIFORMES**

Ardeidae Egrets, herons

Balaenicipitidae Shoebill,  
whale-headed stork

Ciconiidae Storks

*Ciconia boyciana***Appendix II***Balaeniceps rex**Ciconia nigra***Appendix III***Ardea goliath* (Ghana)  
*Bubulcus ibis* (Ghana)  
*Casmerodius albus*  
(Ghana)  
*Egretta garzetta*  
(Ghana)*Ephippiorhynchus*  
*senegalensis* (Ghana)

## Appendix I

*Jabiru mycteria**Mycteria cinerea*Threskiornithidae Ibises,  
spoonbills*Geronticus eremita**Nipponia nippon*Phoenicopteridae  
Flamingos

## ANSERIFORMES

Anatidae Ducks, geese,  
swans, etc.*Anas aucklandica**Anas laysanensis**Anas oustaleti**Branta canadensis*  
*leucopareia**Branta sandvicensis*

## Appendix II

*Eudocimus ruber**Geronticus calvus**Platalea leucorodia**Phoenicopteridae spp.**Anas bernieri**Anas formosa**Branta ruficollis*

## Appendix III

*Leptoptilos*  
*crumeniferus* (Ghana)*Bostrychia hagedash*  
(Ghana)  
*Bostrychia rara* (Ghana)*Threskiornis*  
*aethiopicus* (Ghana)*Alopochen aegytiacus*  
(Ghana)  
*Anas acuta* (Ghana)*Anas capensis* (Ghana)  
*Anas clypeata* (Ghana)  
*Anas crecca* (Ghana)*Anas penelope* (Ghana)  
*Anas querquedula*  
(Ghana)  
*Aythya nyroca* (Ghana)*Cairina moschata*  
(Honduras)



**Appendix I***Cairina scutulata**Rhodonessa  
caryophyllacea*  
(possibly extinct)**FALCONIFORMES**  
Eagles, falcons, hawks,  
vulturesCathartidae New  
world vultures*Gymnogyps  
californianus**Vultur gryphus*Accipitridae Hawks,  
eagles*Aquila adalberti*  
*Aquila heliaca***Appendix II***Coscoroba coscoroba*  
*Cygnus melanocorypha*  
*Dendrocygna arborea**Oxyura leucocephala**Sarkidiornis melanotos***FALCONIFORMES spp.**  
(Except the species  
included in Appendices I  
and III and the species of  
the family Cathartidae)**Appendix III***Dendrocygna atumnalis*  
(Honduras)  
*Dendrocygna bicolor*  
(Ghana, Honduras)  
*Dendrocygna viduata*  
(Ghana)  
*Nettapus auritus* (Ghana)*Plectropterus gambensis*  
(Ghana)  
*Pteronetta hartlaubii*  
(Ghana)*Sarcoramphus papa*  
(Honduras)

## Appendix I

*Chondrohierax*  
*uncinatus*  
*wilsonii*

*Haliaeetus albicilla*

*Haliaeetus*  
*leucocephalus*

*Harpia harpyja*  
*Pithecophaga jefferyi*

Falconidae Falcons

*Falco araea*  
*Falco jugger*  
*Falco newtoni* (Only  
the population of  
Seychelles)

*Falco pelegrinoides*  
*Falco peregrinus*  
*Falco punctatus*  
*Falco rusticolus*

## GALLIFORMES

Megapodiidae  
Megapodes, scrubfowl

*Macrocephalon maleo*

Cracidae Chachalacas,  
currassows, guans

*Crax blumenbachii*

## Appendix III

*Crax alberti*  
(Colombia)  
*Crax daubentoni*  
(Colombia)  
*Crax globulosa*  
(Colombia)  
*Crax*  
*rubra*(Colombia,  
Costa Rica,  
Guatemala, Honduras)

## Appendix I

*Mitu mitu*  
*Oreophasis derbianus*

*Penelope albigularis*

*Pipile jacutinga*  
*Pipile pipile*

*Phasianidae* Grouse,  
guineafowl, partridges,  
pheasants, tragopans

*Catreus wallichii*  
*Colinus virginianus*  
*ridgwayi*

*Crossoptilon crossoptilon*  
*Crossoptilon harmani*  
*Crossoptilon*  
*mantchuricum*

## Appendix II

*Argusianus argus*

## Appendix III

*Ortalis vetula*  
(Guatemala, Honduras)  
*Pauxi pauxi* (Colombia)

*Penelope purpurascens*  
(Honduras)  
*Penelopina nigra*  
(Guatemala)

*Agelastes meleagrides*  
(Ghana)  
*Agriocharis ocellata*  
(Guatemala)  
*Arborophila charltonii*  
(Malaysia)  
*Arborophila orientalis*  
(Malaysia)

*Caloperdix oculea*  
(Malaysia)

## Appendix I

*Lophophorus impejanus*  
*Lophophorus lhuysii*  
*Lophophorus sclateri*  
*Lophura edwardsi*

*Lophura imperialis*  
*Lophura swinhoii*

*Polyplectron emphanum*

*Rheinardia ocellata*

*Syrnaticus ellioti*  
*Syrnaticus humiae*  
*Syrnaticus mikado*  
*Tetraogallus caspius*  
*Tetraogallus tibetanus*  
*Tragopan blythii*  
*Tragopan caboti*  
*Tragopan melanocephalus*

*Tympanuchus cupido*  
*artwateri*

## Appendix II

*Gallus sonneratii*  
*Ithaginis cruentus*

*Pavo muticus*

*Polyplectron*  
*bicalcaratum*

*Polyplectron germaini*

*Polyplectron malacense*

*Polyplectron*  
*schleiermacheri*

## Appendix III

*Lophura erythrophthalma*  
 (Malaysia)  
*Lophura ignita* (Malaysia)

*Melanoperdix nigra*  
 (Malaysia)

*Polyplectron inopinatum*  
 (Malaysia)

*Rhizothera longirostris*  
 (Malaysia)  
*Rollulus rouloul*  
 (Malaysia)

*Tragopan satyra* (Nepal)

**Appendix I****GRUIFORMES****Gruidae Cranes**

*Grus americana*  
*Grus canadensis*  
*nesiotes*

*Grus canadensis*  
*pulla*

*Grus japonensis*  
*Grus leucogeranus*  
*Grus monacha*  
*Grus nigricollis*  
*Grus vipio*

**Rallidae Rail**

*Gallirallus sylvestris*

**Rhynochetidae Kagu**

*Rhynochetos jubatus*

**Otididae Bustards**

*Ardeotis nigriceps*  
*Chlamydotis undulata*  
*Eupodotis bengalensis*

**CHARADRIIFORMES****Burhiniidae Thick-knee****Appendix II**

*Gruidae spp.* (Except the  
species included in  
Appendix I)

*Otididae spp.* (Except the  
species included in Appendix  
I)

**Appendix III**

*Burhinus bistrriatus*  
(Guatemala)

## Appendix I

Scolopacidae Curlews,  
greenshanks

*Numenius borealis*  
*Numenius tenuirostris*  
*Tringa guttifer*

Laridae Gull

*Larus relictus*

## COLUMBIFORMES

Columbidae Doves,  
pigeons

*Caloenas nicobarica*

*Ducula mindorensis*

## Appendix II

*Gallicolumba luzonica*  
*Goura* spp

## Appendix III

*Columba guinea* (Ghana)  
*Columba iriditorques*  
(Ghana)  
*Columba livia* (Ghana)  
*Columbia mayeri*  
(Mauritius)  
*Columba uncinata*  
(Ghana)

*Oena capensis* (Ghana)  
*Streptopelia decipiens*  
(Ghana)  
*Streptopelia roseogrisea*  
(Ghana)  
*Streptopelia*  
*semitorquata* (Ghana)  
*Streptopelia*  
*senegalensis* (Ghana)  
*Streptopelia turtur*  
(Ghana)

## Appendix I

## Appendix II

## Appendix III

*Streptopelia vinacea*  
(Ghana)  
*Treron calva* (Ghana)  
*Treron waalia*  
(Ghana)  
*Turtur abyssinicus*  
(Ghana)  
*Turtur afer* (Ghana)  
*Turtur brehmeri*  
(Ghana)  
*Turtur tympanistris*  
(Ghana)

PSITTACIFORMES  
Amazons,  
cockatoos, lories,  
lorikeets, macaws,  
parakeets, parrots

PSITTACIFORMES spp.  
(Except the species  
included in Appendix I  
and Appendix III, and  
excluding *Agapornis*, *roseicollis*,  
*Melopsittacus undulatus*  
and *Nymphicus hollandicus*,  
which are not included in the  
Appendices)

Cacatuidae Cockatoos  
*Cacatua goffini*  
*Cacatua haematuropygia*  
*Cacatua moluccensis*  
*Cacatua sulphurea*  
*Probosciger aterrimus*

Loriidae Lories, lorikeets  
*Eso histrio*  
*Vini ultramarina*

**Appendix I**

*Amazona arausiaca*  
*Amazona barbadensis*  
*Amazona brasiliensis*  
*Amazona finschi*  
*Amazona guildingii*  
*Amazona imperialis*  
*Amazona leucocephala*  
*Amazona ochrocephala*  
*auropalliata*  
*Amazona ochrocephala*  
*belizensis*  
*Amazona ochrocephala*  
*caribaea*  
*Amazona ochrocephala*  
*oratrix*  
*Amazona ochrocephala*  
*parvipes*  
*Amazona ochrocephala*  
*tresmariae*  
*Amazona pretrei*  
*Amazona rhodocorytha*  
*Amazona tucumana*  
*Amazona versicolor*  
*Amazona vinacea*  
*Amazona viridigenalis*  
*Amazona vittata*  
*Anodorhynchus spp.*  
*Ara ambigua*  
*Ara glaucogularis* (Often  
traded under the incorrect  
designation *Ara caninde*)  
*Ara macao*  
*Ara militaris*  
*Ara rubrogenys*  
*Cyanopsitta spixii*  
*Cyanoramphus forbesi*  
*Cyanoramphus novaezelandiae*  
*Cyclopsitta diophthalma coxeni*  
*Eunymphicus cornutus*

**Appendix II****Appendix III**



## Appendix I

*Geopsittacus occidentalis*  
 (possibly extinct)  
*Guarouba guarouba*  
*Neophema chrysogaster*  
*Ognorhynchus icterotis*  
*Pezoporus wallicus*  
*Pionopsitta pileata*  
*Propyrrhura couloni*  
*Propyrrhura maracana*  
*Psephotus chrysopterygius*  
*Psephotus dissimilis*  
*Psephotus pulcherrimus*  
 (possibly extinct)

*Psittacula echo*

*Pyrrhura cruentata*  
*Rhynchopsitta spp.*  
*Strigops habroptilus*  
*Vini ultramarina*

## CUCULIFORMES

Musophagidea  
 Turacos

## Appendix II

*Musophaga porphyreolopha*

*Tauraco spp.*

## Appendix III

*Psittacula krameri*  
 (Ghana)

*Corythaeola cristata*  
 (Ghana)  
*Crinifer piscator*  
 (Ghana)

*Musophaga*  
*violacea* (Ghana)

**Appendix I**

## STRIGIFORMES Owls

Tytonidae Barn owl

*Tyto soumagnei*

Strigidae Owls

*Athene blewitti**Mimizuku gurneyi**Ninox novaeseelandiae*  
*undulata**Ninox squamipila natalis*

## APODIFORMES

Trochilidae

Hummingbirds

*Glaucis dohrnii*

## TROGONIFORMES

Trogonidae Quetzal

*Pharomachrus mocinno*

## CORACIIFORMES

Bucerotidae Hornbills

**Appendix II**STRIGIFORMES spp.  
(Except the species included  
in Appendix I)*Trochilidae* spp. (Except  
the species included in  
Appendix I)*Aceros* spp. (Except the  
species included in  
Appendix I)**Appendix III**

**Appendix I**

*Aceros nipalensis*  
*Aceros subruficollis*

*Buceros bicornis*  
*Buceros vigil*

**PICIFORMES**

Capitonidae Barbet

Ramphastidae *Toucans*

Picidae Woodpeckers

*Campephilus imperialis*

*Dryocopus javensis*  
*richardsi*

**Appendix II**

*Anorrhinus* spp.  
*Anthracosceros* spp.  
*Buceros* spp. (Except the  
 species included in  
 Appendix I)

*Penelopides* spp.

*Pteroglossus aracari*

*Pteroglossus viridis*

*Ramphastos sulfuratus*  
*Ramphastos toco*  
*Ramphastos tucanus*  
*Ramphastos vitellinus*

**Appendix III**

*Semnornis*  
*ramphastinus*  
 (Ghana)

*Bailloni* *bailloni*  
 (Argentina)

*Pteroglossus*  
*castanotis*  
 (Argentina)

*Ramphastos*  
*discolorus*  
 (Argentina)

*Selenidera*  
*maculirostris*  
 (Argentina)

**Appendix I****PASSERIFORMES****Cotingidae Cotingas***Cotinga maculata**Xipholena atropurpurea***Pittidae Pittas***Pitta gurneyi**Pitta kochi***Atrichornithidae****Scrub-bird***Atrichornis clamosus***Hirundinidae Martin***Pseudochelidon**sirintarae***Pycnonotidae Bulbul****Muscicapidae Old World****flycatchers***Dasyornis broadbenti**litoralis* (possibly  
extinct)**Appendix II***Rupicola* spp.*Pitta guajana**Pitta nympha**Pycnonotus zeylanicus**Cyornis ruckii***Appendix III***Cephalopterus  
ornatus* (Colombia)*Cephalopterus  
penduliger*  
(Colombia)*Bebrornis  
rodericanus*  
(Mauritius)

**Appendix I***Dasyornis longirostris**Picathartes  
gymnocephalus**Picathartes oreas***Zosteropidae**  
White-eye*Zosterops albogularis***Meliphagidae**  
Honeyeater*Lichenostomus  
melanops cassidix***Emberizidae**  
Cardinals, tanagers**Icteridae** Blackbirds*Agelaius flavus***Fringillidae** Finches*Carduelis cucullata***Appendix II***Garrulax canorus*  
*Leiothrix argentauris*  
*Leiothrix lutea*  
*Liocichla omeiensis**Gubernatrix cristata*  
*Paroaria capitata*  
*Paroaria coronata*  
*Tangara fastuosa**Carduelis yarrellii***Appendix III***Terpsiphone  
bourbonnensis*  
(Mauritius)*Serinus canicapillus*  
(Ghana)  
*Serinus leucopygius*  
(Ghana)

## Appendix I

Estrildidae Mannikins,  
waxbills

## Appendix II

*Amandava formosa*

## Appendix III

*Serinus mozambicus*  
(Ghana)

*Amandava fasciata*  
(Ghana)

*Amandava subflava*  
(Ghana)

*Estrilda astrild*  
(Ghana)

*Estrilda*  
*caerulescens*  
(Ghana)

*Estrilda melpoda*  
(Ghana)

*Estrilda troglodytes*  
(Ghana)

*Lagonosticta rara*  
(Ghana)

*Lagonosticta*  
*rubricata* (Ghana)

*Lagonosticta*  
*rufopita* (Ghana)

*Lagonosticta*  
*senegala* (Ghana)

*Lagonosticta*  
*vinacea* (Ghana)

*Lonchura bicolor*  
(Ghana)

*Lonchura cantans*  
(Ghana)

*Lonchura cucullata*  
(Ghana)

*Lonchura*  
*fringilloides* (Ghana)

*Mandingoa nitidula*  
(Ghana)

## Appendix I

## Appendix II

## Appendix III

*Nesocharis*  
*capistrata* (Ghana)  
*Nigrita bicolor* (Ghana)  
*Nigrita canicapilla*  
 (Ghana)  
*Nigrita fusconota*  
 (Ghana)  
*Nigrita luteifrons*  
 (Ghana)  
*Ortygospiza atricollis*  
 (Ghana)

*Padda oryzivora*

*Parmoptila*  
*rubrifrons* (Ghana)  
*Pholidornis rufia*  
 (Ghana)

*Poephila cincta cincta*

*Pyrenestes ostrinus*  
 (Ghana)  
*Pytilia*  
*hypogammica* (Ghana)  
*Pytilia*  
*phoenicoptera*  
 (Ghana)  
*Spermophaga*  
*haematina* (Ghana)  
*Uraeginthus*  
*bengalus* (Ghana)

Ploceidae Weavers,  
 whydahs

*Amblyospiza*  
*albifrons* (Ghana)  
*Anaplectes rubriceps*  
 (Ghana)  
*Anomalospiza*  
*imberbis*  
 (Ghana)  
*Bubalornis albirostris*  
 (Ghana)  
*Euplectes afer* (Ghana)  
*Euplectes ardens*  
 (Ghana)

## Appendix I

## Appendix II

## Appendix III

*Euplectes ardens* (Ghana)  
*Euplectes franciscanus*  
 (Ghana)  
*Euplectes hordeaceus*  
 (Ghana)  
*Euplectes macrourus*  
 (Ghana)  
*Malimbus cassini*  
 (Ghana)  
*alimbus malimbicus*  
 (Ghana)  
*Malimbus nitens* (Ghana)  
*Malimbus rubricollis*  
 (Ghana)  
*Malimbus scutatus*  
 (Ghana)  
*Pachyhantes*  
*superciliosus* (Ghana)  
*Passer griseus* (Ghana)  
*Petronis dentata* (Ghana)  
*Plocepasser*  
*superciliosus* (Ghana)  
*Ploceus albinucha*  
 (Ghana)  
*Ploceus aurantius* (Ghana)  
*Ploceus cucullatus*  
 (Ghana)  
*Ploceus heuglini* (Ghana)  
*Ploceus luteolus* (Ghana)  
*Ploceus*  
*melanocephalus*  
 (Ghana)  
*Ploceus nigerrimus*  
 (Ghana)  
*Ploceus nigricollis*  
 (Ghana)  
*Ploceus pelzelni* (Ghana)  
*Ploceus preussi* (Ghana)



## Appendix I

## Appendix II

## Appendix III

Sturnidae Mynahs  
(Starlings)

*Leucopsar rothschildi*

Paradisaeidae Birds of  
paradise

*Gracula religiosa*

*Paradisaeidae* spp.

*Ploceus tricolor*  
(Ghana)  
*Ploceus vitellinus*  
(Ghana)  
*Quelea erythropus*  
(Ghana)  
*Sporopipes frontalis*  
(Ghana)  
*Vidua chalybeata*  
(Ghana)  
*Vidua interjecta*  
(Ghana)  
*Vidua larvaticola*  
(Ghana)  
*Vidua macroura*  
(Ghana)  
*Vidua orientalis*  
(Ghana)  
*Vidua raricola* (Ghana)  
*Vidua togoensis*  
(Ghana)  
*Vidua wilsoni* (Ghana)

## Appendix I

## TESTUDINATA

Dermatemydidae  
Central American  
river turtle

Platysternidae  
Big-headed turtle

Emydidae Box turtles,  
freshwater turtles

*Batagur baska*

*Clemmys muhlenbergi*

*Geoclemys hamiltonii*

## Appendix II

CLASS REPTILIA  
(REPTILES)

*Dermatemys mawii*

*Platyeternon  
megacephalum*

*Annamemys annamensis*

*Callagur borneoensis*

*Clemmys insculpta*

*Cuora* spp.

*Heosemy depressa*  
*Heosemys grandis*  
*Heosemys leytensis*  
*Heosemys spinosa*  
*Hieremys annandalii*

*Kachuga* spp. (Except the  
species included in  
Appendix I)

## Appendix III

*Chinemys  
megalcephala* (China)  
*Chinemys nigricans*  
(China)  
*Chinemys reevesii*  
(China)

*Geoemyda spengleri*  
(China)

**Appendix I***Kachuga tecta**Melanochelys tricarinata*  
*Morenia ocellata***Appendix II***Leucocephalon yuwonoi*  
*Malayemys subtrijuga**Mauremys mutica**Notochelys platynota**Orlita borneensis*  
*Pyxidea mouhotii**siebenrockiella*  
*crassicollis***Appendix III***Maurenys iversoni*  
(China)*Maurenys prichardi*  
(China)*Ocadia glyphistoma*  
(China)*Ocadia philippeni*  
(China)*Ocadia sinensis*  
(China)*Sacalia bealei* (China)*Sacalia pseudocellata*  
(China)*Sacalia quadriocellata*  
(China)

**Appendix I***Terrapene coahuila*

Testudinidae Tortosises

*Geochelone nigra*  
*Geochelone radiata*  
*Geochelone yniphora*  
*Gopherus flavomarginatus*  
*Psammobates geometricus*  
*Pyxis arachnoides*  
*Pyxis planicauda*  
*Testudo kleinmanni*  
*Testudo weneri*

Cheloniidae Marine turtles

*Cheloniidae* spp.

Dermochelyidae  
 Leatherback turtle

*Dermochelys coriacea*

Carettochelyidae  
 Pig-nosed turtles

**Appendix II**

*Terrapene* spp. (Except  
 the species included in  
 Appendix I)

*Testudinidae* spp. (Except  
 the species included in  
 Appendix I. A zero annual  
 export quotas has been  
 established for *Geochelone*  
*sulcata* for specimens  
 removed from the wild and  
 traded for primarily commercial  
 purposes)

*Carettochelys insculpta***Appendix III**

**Appendix I**

Trionychidae Softshell  
turtles, terrapins

*Apalone ater*  
*Asperidetes gangeticus*  
*Asperidetes hurum*  
*Asperidetes nigricans*

Pelomedusidae  
Afro-American  
side necked turtles

**Appendix II**

*Amyda cartilaginea*

*Chitra* spp.  
*Lissemys punctata*

*Pelochelys* spp.

*Erymnochelys*  
*madagascariensis*

*Peltocephalus*  
*dumeriliana*

**Appendix III**

*Palea steindactineri*  
(China)

*Pelodisus axenaria*  
(China)

*Pelodisus maackii*  
(China)

*Pelodisus parviformis*  
(China)

*Pelodisus sinensis*  
(China)

*Rajetus swinhoei*  
(China)

*Trionyx triunguis*  
(Ghana)

*Pelomedusa subrufa*  
(Ghana)

*Pelusios adansonii*  
(Ghana)

*Pelusios castaneus*  
(Ghana)

*Pelesios gabonensis*  
(Ghana)

*Pelusios niger* (Ghana)

**Appendix I**

Chelidae Austro-  
American side-necked  
turtle

*Pseudemydura umbrina*

**CROCODYLIA**  
Alligators, caimans,  
crocodiles

Alligatoridae  
Alligators, caimans

*Alligator sinensis*  
*Caiman crocodilus*  
*apaporiensis*

*Caiman latirostris*  
(Except the  
population of  
Argentina, which is  
included in Appendix  
II)

*Melanosuchus niger*  
(Except the population  
of Ecuador, which is  
included in Appendix II,  
and is subject to a zero  
annual export quotas until  
an annual export quota  
has been approved by  
the CITES Secretariat  
and the IUCN/SSC  
Crocodile Specialist  
Group)

**Appendix II**

*Podocnemis* spp.

*Chelodina mccordi*

**Appendix III**

**CROCODYLIA** spp. (Except  
the species including in Appendix I)

**Appendix I****Appendix II****Appendix III**Crocodylidae  
Crocodyles

*Crocodylus acutus* (Except  
the population of Cuba,  
which is included in  
Appendix II)

*Crocodylus cataphractus*

*Crocodylus intermedius*

*Crocodylus mindorensis*

*Crocodylus moreletii*

*Crocodylus niloticus*

(Except the population  
of Botswana, Ethiopia,  
Kenya, Madagascar,  
Malawi, Mozambique,  
South Africa, Uganda,  
the United Republic of  
Tanzania (subject to an  
annual export quota of  
no more than 1600 wild  
specimens including  
hunting trophies, in  
addition to ranched  
specimens), Zambia and  
Zimbabwe, which are  
included in Appendix II)

*Crocodylus palustris*

*Crocodylus porosus*

(Except the populations of  
Australia, Indonesia and  
Papua New Guinea, which  
are included in Appendix II)

*Crocodylus rhombifer*

*Crocodylus siamensis*

*Osteolaemus tetraspis*

*Tomistoma schlegelii*

**Appendix I**

Gavialidae Gavial

*Gavialis gangeticus***RHYNCHOCEPHALIA**

Sphenodontidae Tuatara

*Sphenodon* spp.**SAURIA**

Gekkonidae Geckos

Agamidae Agamas,  
mastiguresChamaeleonidae  
Chameleons*Brookesia perarmata*

Iguanidae Iguanas

*Brachylophus* spp.**Appendix II***Cyrtodactylus serpensinsula**Phelsuma* spp.  
*Uroplatus* spp.*Uromastyx* spp.*Bradypodion* spp.  
*Brookesia* spp. (Except  
the species included in  
Appendix I)*Calumma* spp.  
*Chamaeleo* spp.  
*Furcifer* spp.*Amblyrhynchus cristatus***Appendix III***Hoplodactylus* spp.  
(New Zealand)  
*Naultinus* spp.  
(New Zealand)



**Appendix I***Cyclura* spp.*Sauromalus varius*

Lacertidae Lizards

*Gallotia simonyi*Cordylidae Spiny-tailed  
lizardsTeiidae Caiman lizards,  
tegu lizards

Scincidae Skink

Xenosauridae Chinese  
crocodile lizardHelodermatidae  
Beaded lizard, gila monster**Appendix II***Conolophus* spp.*Iguana* spp.*Phrynosoma coronatum**Podarcis lilfordi**Podarcis pityusensis**Cordylus* spp.*Crocodylus amazonicus**Dracaena* spp.*Tupinambis* spp.*Corucia zebrata**Shinisaurus crocodilurus**Heloderma* spp.**Appendix III**

**Appendix I**

Varanidae Monitor  
lizard

*Varanus bengalensis*  
*Varanus flavescens*  
*Varanus griseus*  
*Varanus komodoensis*  
*Varanua nebulosus*

SERPENTES Snakes

Loxocemidae Mexican  
dwarf boa

Pythonidae Pythons

*Python molurus*  
*molurus*

Boidae Boas

*Acrantophis* spp.  
*Boa constrictor*  
*occidentalis*

*Epicrates inornatus*  
*Epicrates monensis*  
*Epicrates subflavus*  
*Sanzinia*  
*madagascariensis*

**Appendix II**

*Varanus* spp. (Except the speices  
included in Appendix I)

*Loxocemidae* spp.

*Pythonidae* spp. (Except the  
subspecies included in Appendix  
I)

*Boidae* spp. (Except the species  
included in Appendix I)

**Appendix III**

**Appendix I**

**Bolyeriidae Round  
Island boas**

*Bolyeria multocarinata*  
*Casarea dussumieri*

**Tropidophiidae Wood boas**

*Tropidophiidae* spp.

**Colubridae Typical  
snakes, water snakes,  
whipsnakes**

*Clelia clelia*  
*Cyclagras gigas*  
*Elachistodon*  
*westermanni*

*Ptyas mucosus*

**Elapidae Cobras,  
coral snakes**

*Hoplocephalus*  
*bungaroides*

*Naja atra*  
*Naja kaouthis*  
*Naja mandalayensis*  
*Naja naja*  
*naja oxiana*  
*Naja philippinensis*

**Appendix II**

*Bolyeriidae* spp. (Except the  
species included in Appendix I)

**Appendix III**

*Atretium schistosum*  
(India)  
*Cerberus rhynchops*  
(India)

*Xenochrophis*  
*piscator* (India)

*Micrurus diastema*  
(Honduras)  
*Micrurus*  
*nigrocinctus*  
(Honduras)

**Appendix I****Viperidae Vipers**

*Vipera ursinii* (Only the population of Europe, except the area which formerly constituted the Union of Soviet Socialist Republics; these latter populations are not included in the Appendices)

**Appendix II**

*Naja sagittifera*  
*Naja samarensis*  
*Naja siamensis*  
*Naja sputatrix*  
*Naja sumatrana*  
*Ophiophagus hannah*

*Vipera wagneri*

**CLASS AMPHIBIA  
 (AMPHIBIANS)**

**ANURA****Bufonidae Toads**

*Altiphrynoides* spp.  
*Atelopus zeteki*  
*Bufo periglenes*  
*Bufo superciliaris*  
*Nectophrynoides* spp.  
*Nimbaphrynoides* spp.  
*Spinophrynoides* spp.

**Appendix III**

*Crotalus durissus*  
 (Honduras)  
*Daboia russelii*  
 (India)

**Appendix I**

**Dendrobatidae Poison  
frogs**

**Mantellidae Mantellas**

**Microhylidae Red rain  
frog, tomato frog**

*Dyscophus antongilii*

**Myobatrachidae Gastric-  
brooding frogs**

**Ranidae frogs**

**CAUDATA**

**Ambystomidae Axolotls**

**Cryptobranchidae Giant  
salamanders**

*Andrias* spp.

**Appendix II**

*Dendrobates* spp.  
*Epipedobates* spp.  
*Minyobates* spp.  
*Phyllobates* spp.

*Mantella* spp.

*Scaphiophryne gottlebei*

*Rheobatrachus* spp.

*Euphlyctis hexadactylus*  
*Hoplobatrachus tigerinus*

*Ambystoma dumerilii*  
*Ambystoma mexicanum*

**Appendix III**

## Appendix I

## Appendix II

## Appendix III

CLASS ELASMOBRANCHII  
(SHARKS)

## ORECTOLOBIFORMES

Rhincodontidae Whale  
shark*Rhincodon typus*

## LAMNIFORMES

Lamnidae Great white  
shark*Carcharodon carcharias*

Cetorhinidae Basking shark

*Cetorhinus maximus*CLASS ACTINOPTERYGII  
(FISH)ACIPENSERIFORMES  
Paddlefish, sturgeonsACIPENSERIFORMES spp.  
(Except the speices included in  
Appendix I)

Acipenseridae Sturgeons

*Acipenser brevirostrum**Acipenser sturio*

## OSTEOGLOSSOFORMES

Osteoglossidae Arapaima,  
bonytonge*Arapaima gigas**Scleropages forosus*

**Appendix I****CYPRINIFORMES**

Cyrinidae Blind carps,  
plaecok

*Probarbus jullieni*

Catostomidae Cui-ui

*Chasmistes cujus*

**SILURIFORMES**

Pangasiidae Pangasid  
carfish

*Pangasianodon gigas*

**SYNGNATHIFORMES**

Syngnathidae Pipefishes,  
seahorses

*Hippocampus* spp.

**PERCIFORMES**

Labridae Wrasses

*Cheilinus undulatus*

Sciaenidae Totoaba

*Totoaba macdonaldi*

**CLASS SARCOPTERYGII  
(LUNGFISHES)****COELACANTHIFORMES**

Latimeriidae Coelacanth

*Latimeria* spp.

**Appendix II****Appendix III**

## Appendix I

## CERATODONTIFORMES

Ceratodontidae Australian  
lungfish

## Appendix II

*Neoceratodus forsteri*

## Appendix III

## PHYLUM ECHINODERMATA

CLASS HOLOTHUROIDEA  
(SEA CUCUMBERS)

## ASPIDOCHIROTIDA

Stichopodidae Sea  
cucumbers

*Isostichopus fuscus*  
(Ecuador)

## PHYLUM ARTHROPODA

CLASS ARACHNIDA  
(SPIDERS)

## SCORPIONES

Scorpionidae Scorpions

*Pandinus dictator*  
*Pandinus gambiensis*  
*Pandinus imperator*

## ARANEAE

Theraphosidae Red-kneed  
tarantulas, terantulas

*Aphonopelma albiceps*  
*Aphonopelma pallidum*  
*Brachypelma* spp.



## Appendix I

## Appendix II

## Appendix III

CLASS INSECTA  
(INSECTS)

## COLEOPTERA

Lucanidae Cape stag  
beetles*Colophon* spp.  
(South Africa)

## LEPIDOPTERA

Papilionidae Birdwing  
butterflies, swallowtail  
butterflies*Atrophaneura jophon*  
*Atrophaneura pandiyana*  
*Bhutanitis* spp.  
*Ornithoptera* spp. (Except the  
species included in Appendix I)*Ornithoptera alexandrae*  
*Papilio chikae*  
*Papilio homerus*  
*Papilio hospiton**Parnassius apollo*  
*Teinopalpus* spp.  
*Trogonoptera* spp.  
*Troides* spp. (*sensu*

## PHYLUM ANNELIDA

CLASS HIRUDINOIDEA  
(LEECHES)

## ARHYNCHOBDELLIDA

Hirudinidae Medicinal Leech

*Hirudo medicinalis*

## Appendix I

## Appendix II

## Appendix III

## PHYLUM MOLLUSCA

CLASS BIVALVIA  
(CLAMS, MUSSELS)

## VENERIDA

Tridacnidae Giant clams

*Tridacnidae spp.*

## UNIONIDA

Unionidae Freshwater  
mussels, pearly mussels*Conradilla caelata**Cyprogenia aberti**Dromus dromas**Epioblasma curtisi**Epioblasma florentina**Epioblasma sampsoni**Epioblasma sulcata**perobliqua**Epioblasma torulosa*  
*gubernaculum**Epioblasma torulosa rangiana**Epioblasma torulosa*  
*torulosa**Epioblasma turgidula**Epioblasma walkeri**Fusconaia cuneolus**Fusconaia edgariana**Lampsilis higginsii**Lampsilis orbiculata**orbiculata**Lampsilis satur**Lampsilis virescens*

**Appendix I**

*Plethobasus cicatricosus*  
*Plethobasus cooperianus*

*Pleurobema plenum*  
*Potamilus capax*  
*Quadrula intermedia*  
*Quadrula sparsa*  
*Toxolasma cylindrella*  
*Unio nickliniana*  
*Unio tampicoensis*  
*tecomatensis*

*Villosa trabalis*

**MYTILOIDA**  
 Mytilidae Marine mussels

**STYLOMMATOPHORA**

Achatinellidae Agate snails,  
 oahu tree snails

*Achatinella spp.*

Camaenidae Green tree  
 snail

**MESOGASTROPODA**

Strombidae Queen conch

**Appendix II**

*Cleurobema clava*

Lithophaga Lithophaga

**CLASS GASTROPODA**  
**(SNAILS AND**  
**CONCHES)**

*Papustyla pulcherrima*

*Strombus gigas*

**Appendix III**

## Appendix I

## Appendix II

## Appendix III

## PHYLUM CNIDARIA

CLASS ANTHOZOA  
(CORALS, SEA ANEMONES)

## HELIOPORACEA Blue corals

Helioporidae spp.  
(Includes only the  
species *Heliopora*  
*coerulea*. Fossils are  
not subject to the  
provisions of the  
Convention)

## STOLONIFERA

Tubiporidae Organ-pipe  
corals

*Tubiporidae* spp. (Fossils  
are not subject to the  
provisions of the Convention)

ANTIPATHARIA  
Black corals

ANTIPATHARIA spp.

SCLERACTINIA Stony  
corals

SCLERACTINIA spp. (Fossils  
are not subject to the provisions  
of the Convention)

## Appendix I

## MILLEPORINA

Milleporidae Fire corals

## STYLASTERINA

Stylasteridae Lace corals

## AGAVACEAE Agaves

*Agave arizonica*  
*Agave parviflora**Nolina interrata*AMARYLLIDACEAE  
Snowdrops, sternbergiasAPOCYNACEAE  
Elephant trunks, hoodias*Pachypodium ambongense*  
*Pachypodium baronii*  
*Pachypodium decaryi*

## Appendix II

CLASS HYDROZOA  
(SEA FERNS, FIRE  
CORALS, STINGING  
MEDUSAE)*Milleporidae* spp. (Fossils  
are not subject to the  
provisions of the Convention)*Stylasteridae* spp. (Fossils are not  
subject to the provisions of the  
Convention)

## FLORA (PLANTS)

*Agave victoriae-reginae* #1*Galanthus* spp. #1  
*Sternbergia* spp. #1*Hoodia* spp. #9  
*Pachypodium* spp. \* #1 (Except the  
species included in Appendix I)*Rauvolfia serpentina* #2

## Appendix III

**Appendix I****ARALIACEAE** Ginseng**Appendix II**

*Panax ginseng* #3  
 (Only the population of the  
 Russian Federation; no  
 other population is included  
 in the Appendices)

**Appendix III**

*Panax quinquefolius* #3

**ARAUCARIACEAE**  
Monkey-puzzle tree

*Araucaria araucana*

**BERBERIDACEAE**  
May-apple

*Podophyllum hexandrum* #2

**BROMELIACEAE** Air  
plants, bromelias

*Tillandsia harrisii* #1  
*Tillandsia kammii* #1  
*Tillandsia kautskyi* #1  
*Tillandsia mauryana* #1  
*Tillandsia sprengeliana* #1  
*Tillandsia sucrei* #1  
*Tillandsia xerographica* #1

**CACTACEAE** Cacti

**CACTACEAE** spp.? #4 (Except  
the species included in Appendix I)

*Ariocarpus* spp.  
*Astrophytum asterias*  
*Aztekium ritteri*  
*Coryphantha werdermannii*  
*Discocactus* spp.  
*Echinocereus ferreirianus*  
*ssp. lindsayi*

**Appendix I**

*Echinocereus schmollii*  
*Escobaria minima*  
*Escobaria sneedii*  
*Mammillaria pectinifera*  
*Mammillaria solisioides*  
*Melocactus conoideus*  
*Melocactus deinacanthus*  
*Melocactus glaucescens*  
*Melocactus paucispinus*  
*Obregonia denegrii*  
*Pachycereus militaris*  
*Pediocactus bradyi*  
*Pediocactus knowltonii*  
*Pediocactus paradinei*  
*Pediocactus peeblesianus*  
*Pediocactus sileri*  
*Pelecypora* spp.  
*Sclerocactus brevihamatus* ssp.  
*tobuschii*

*Sclerocactus erectocentrus*  
*Sclerocactus glaucus*  
*Sclerocactus mariposensis*  
*Sclerocactus mesae-verdae*  
*Sclerocactus nyensis*  
*Sclerocactus papyracanthus*  
*Sclerocactus pubispinus*  
*Sclerocactus wrightiae*  
*Strombocactus* spp.  
*Turbinicarpus* spp.  
*Uebelmannia* spp.

**CARYOCARACEAE Ajo**

*Caryocar costaricense* #1

**COMPOSITAE**

(Asteraceae) Kuth

*Saussurea costus*

**Appendix II****Appendix III**

**Appendix I**

CRASSULACEAE Dudleyas

CUPRESSACEAE Alerce,  
cypresses*Fitzroya cupressoides*  
*Pilgerodendron uviferum*

CYATHEACEAE Tree-ferns

CYCADACEAE Cycads

*Cycas beddomei*DIAPENSIACEAE Ocone-  
bellsDICKSONIACEAE Tree-  
fernsDIDIEREACEAE  
Alluaudias, didiereasDIOSCOREACEAE  
Elephant's foot, knissDROSERACEAE  
Venus' flytrap**Appendix II***Dudleya stolonifera*  
*Dudleya traskiae**Cyathea* spp. #1

CYCADACEAE spp. \* #1

*Shortia galacifolia* #1*Cibotium barometz* #1  
*Dicksonia* spp. #1 (only the  
populations of the Americas;  
no other population is included  
in the Appendices)

DIDIEREACEAE spp. #1

*Dioscorea deltoidea* #1*Dionaea muscipula* #1**Appendix III**



**Appendix I****EUPHORBIACEAE**  
Spurges

*Euphorbia ambovombensis*  
*Euphorbia capsaintemariensis*  
*Euphorbia cremersii*  
 (Includes the *forma* *verdifolia* and the var. *rakotozafyi*)  
*Euphorbia cylindrifolia*  
 (Includes the spp. *tuberifera*)  
*Euphorbia decaryi*  
 (Includes the vars. *ampanihyenis*, *robibsonii* and *spirosticha*)  
*Euphorbia francoisii*  
*Euphorbia moratii*  
 ((Includes the vars. *antsingiensis*, *bemarahensis* and *multiflora*)

**Appendix II**

*Euphorbia* spp. #1 (Succulent species only except the species included in Appendix I. Artificially propagated specimens of cultivars of *Euphorbia trigona*, artificially propagated specimens of crested, fan-shaped or colour mutants of *Euphorbia lactea*, when grafted on artificially propagated root stock of *Euphorbia neriifolia*, specimens of cultivars of *Euphorbia* 'Mili' when they are traded in shipments of 100 or more plants and readily recognizable as artificially propagated specimens, are not subject to the provisions of the Convention)

**Appendix III**

## Appendix I

*Euphorbia*  
*parvicyathophora*  
*Euphorbia quartziticola*  
*Euphorbia tulearensis*

## FOUQUIERIACEAE

Ocotillos

*Fouquieria fasciculata*  
*Fouquieria purpusii*

## GNETACEAE Gnetums

## JUGLANDACEAE

Gavilan

## LEGUMINOSAE

(Fabaceae)

Afromosia,  
 cristobal, rosewood,  
 sandalwood.

*Dalbergia nigra*

## Appendix II

*Fouquieria columnaris* #1*Oreomunnea pterocarpa* #1*Pericopsis elata* #5

*Platymiscium*  
*pleiostachyum* #1

*Pterocarpus santalinus* #7

## Appendix III

*Gnetum mountainum*  
 #1 (Nepal)

*Dipteryx*  
*panamensis*  
 (Costa Rica)

**Appendix I****LILIACEAE Aloes**

*Aloe albida*  
*Aloe albiflora*  
*Aloe alfredii*  
*Aloe bakeri*  
*Aloe bellatula*  
*Aloe calcairophila*  
*Aloe compressa* (Includes  
the vars, *rugosquamosa*,  
*schistophila* and  
*paucituberculata*)

*Aloe delphinensis*  
*Aloe descoingsii*  
*Aloe fragilis*  
*Aloe haworthioides*  
(Includes the var.  
*aurantiaca*)

*Aloe helenae*  
*Aloe laeta* (Includes the  
var. *maniaensis*)

*Aloe parallelifolia*  
*Aloe parvula*  
*Aloe pillansii*  
*Aloe polyphylla*  
*Aloe rauhii*  
*Aloe suzannae*  
*Aloe versicolor*  
*Aloe vossii*

**Appendix II**

*Aloe spp.* #1 (Except the  
species included in Appendix I.  
Also excludes *Aleo vera*,  
also references as *Aloe*  
*barbadensis* which is not  
included in the Appendices)

**Appendix III**

**Appendix I**

MAGNOLIACEAE Magnolia

MELIACEAE Mahoganies,  
Spanish cedarNEPENTHACEAE  
Pitcher-plants (Old World)*Nepenthes khasiana*  
*Nepenthes rajah*

ORCHIDACEAE Orchids

(For all of the following  
Appendix-I species,  
seeding or tissue cultures  
obtained in vitro, in solid or  
liquid media, transported  
in sterile containers are  
not subject to the provisions  
of the Convention)

*Aerangis ellisii*  
*Dendrobium cruentum*  
*Laelia jongheana*  
*Laelia lobata***Appendix II***Swietenia humilis* #1  
*Swietenia macrophylla* # 6  
(Populations of the  
Neotropics)  
*Swietenia mahagoni* #5*Nepenthes* spp.#1ORCHIDACEAE spp.<sup>8</sup> #8  
(Except the peices included  
in Appendix I)**Appendix III***Magnolia liliifera*  
*var. obovata* #1  
(Nepal)*Cedrela ororata* #5  
[Population of  
Colombia (Colombia)  
Population of Peru  
(Peru)]

## Appendix I

## PORTULACACEAE

Lewisia, portulacas,  
purslanes

## PRIMULACEAE

Cyclamens

## PROTEACEAE

Proteas

## RANUNCULACEAE

Golden seals, yellow  
adonis, yellow root

ROSACEAE African  
cherry, stinkwood

## RUBIACEAE Ayuque

*Balmea stormiae*

## SARRACENIACEAE

Pitcher-plants (New World)

*Sarracenia rubra*  
*ssp. alabamensis*  
*Sarracenia rubra ssp.*  
*jonesii*  
*Sarracenia oreophila*

## Appendix II

*Anacampseros* spp. #1  
*Avonia* spp. #1  
*Lewisia serrata* #1

*Cyclamen* spp.<sup>2</sup> #1

*Orothamnus zeyheri* #1  
*Protea odorata* #1

*Adonis vernalis* #2  
*Hydrastis canadensis* #3

*Prunus africana* #1

## Appendix III

*Sarracenia* spp. #1  
(Except the speices included in  
Appendix I)

**Appendix I**

*Paphiopedilum* spp.  
*Peristeria elata*  
*Phragmipedium* spp.  
*Renanthera imschootiana*

OROBANCHACEAE  
 Broomrape

PALMAE (Arecaceae)  
 Palms

*Marojejya darianii*

PAPAVERACEAE Poppy

PINACEAE Guatemala fir

*Abies guatemalensis*

PODOCARPACEAE  
 Podocarps

*Podocarpus  
 parlatorei*

**Appendix II**

*Cistanche deserticola* #3

*Beccariophoenix  
 madagascariensis*

*Lemurophoenix  
 halleuxii*

*Neodypsis decaryi* #1  
*Ravenea louelii*  
*Ravenea rivularis*  
*Satranala decussilvae*  
*Voanioala gerardii*

**Appendix III**

*Meconopsis regia* #1  
 (Nepal)

*Podocarpus  
 neriigolius* #1  
 (Nepal)

## Appendix I

## SCROPHULARIACEAE

Kutki

## STANGERIACEAE

Stangerias

*Stangeria eriopus*

## TAXACEAE Himalayan yew

## THYMELEACEAE

(Tetracentraceae)

Tetracentron

## THYMELAEACEAE

(Aquilariaceae) Agarwood,  
ramin

## VALERIANACEAE

Himalayan spikenard

## Appendix II

*Picrorhiza kurrooa* #3  
(Excludes *Picrorhiza*  
*scrophulariiflora*)*Bowenia* spp. #1*Taxus chinensis* and  
infraspecific taxa of  
this species<sup>10</sup> #10  
*Taxus cuspidata* and  
infraspecific taxa of  
this species<sup>10</sup> #10  
*Taxus fuana* and  
infraspecific taxa of  
this species<sup>10</sup> #10  
*Taxus sumatrana* and  
infraspecific taxa of  
this species<sup>10</sup> #10  
*Taxus wallichiana* #10

## Appendix III

*Tetracentron sinense*  
#1 (Nepal)*Aquilaria* spp. #1  
*Gonystylus* spp. #1  
*Gyrinops* spp. #1*Nardostachys grandiflora* #3

**Appendix I****WELWITSCHIACEAE***Welwitschia***ZAMIACEAE Cycads***Ceratozamia* spp.*Chigua* spp.*Encephalartos* spp.*Microcycas calocoma***ZINGIBERACEAE Ginger  
lily****ZYGOPHYLLACEAE**

Lignum-vitae

**Appendix II***Welwitschia mirabilis* #1**ZAMIACEAE spp. \* #1**  
(Except the species included  
in Appendix I)*Hedychium philippinense* #1*Guaiacum* spp. #2**Appendix III**



THIRD SCHEDULE

*(Sections 2, 4, 6, 7, 9, 10, 13, 23, 24)*

Species belonging to Barbados that may be adversely affected by trade

**Liophis perfuscus**

**Mastigodryas bruesi**

**Leptotyphlops bilineata**

**Phyllodactylus pulcher**

**Hemidactylus mabouia**

**Anolis extremus**

**Kentropyx borckiana**

**Gymnophthalmus underwoodi**

**Geochelone carbonaria**

**Eleutherodactylus johnstonei**

## FOURTH SCHEDULE

*(Section 5(2))***Constitution and Procedure of the Scientific Authority**

1. (1) The Scientific Authority shall consist of not less than 5 nor more than 12 members appointed by the Minister by instrument in writing. Constitution.
- (2) The members shall be persons appearing to the Minister to be persons suitably qualified in the areas of botany, zoology, entomology, marine ecology and terrestrial ecology and having experience in related areas including the conservation, protection and management of natural resources.
2. The Minister shall appoint one of the members to be Chairman and another to be Deputy Chairman respectively. Appointment of chairman and Deputy Chairman
3. A member of the Authority shall hold office for a period not exceeding 3 years, but is eligible for re-appointment. Tenure.
4. (1) The Chairman may at any time resign his office by instrument in writing addressed to the Minister and upon the date of receipt by the Minister of the instrument, the Chairman ceases to be Chairman and a member of the Authority unless some other date is mentioned in the instrument. Registration.
- (2) A member other than the Chairman may at any time resign his appointment by instrument in writing addressed to the Chairman who shall forthwith cause the instrument to be forwarded to the Minister; and upon the date of receipt by the Chairman of the instrument, the member ceases to be a member of the Authority unless some other date is mentioned in the instrument.
5. The Minister may, in accordance with paragraph 3, appoint any person to act temporarily in the place of any member in case of the absence from Barbados or the inability of that member to act, however the person temporarily appointed shall have a similar qualification to the appointed member for whom he is acting. Temporary membership.

- Vacancy.** 6. A vacancy shall be deemed to arise in the membership of the Authority in the case of
- (a) the death or resignation of a member;
  - (b) the revocation by the Minister of the appointment of a member;
  - (c) the absence from Barbados of a member without leave of the Minister;
  - (d) the failure of a member to attend 3 consecutive meetings of the Committee unless the failure to attend was approved by the Minister.
- Remuneration.** 7. Members are eligible for such remuneration whether by way of fees, travelling or other allowances, as the Minister may determine.
- Publication.** 8. The names of all members of the Authority as it first constituted and every change in the membership shall be published in the *Official Gazette*.
- Secretary.** 9. The Minister may assign a person to be Secretary of the Authority.
- Procedure.** 10. (1) The Scientific Authority shall meet at such times as shall be necessary for the transaction of its business and such meetings shall be held at such places and times as the Scientific Authority may determine.
- (2) The Chairman may at any time call a special meeting of the Scientific Authority and shall call a special meeting within 7 days of the receipt of a written request for that purpose addressed to him by 2 members of the Scientific Authority.
- (3) The Chairman shall preside at meetings of the Scientific Authority and if the Chairman is absent from a meeting the Deputy Chairman shall preside at the meeting and if both the Chairman and the Deputy Chairman are absent, the members present shall elect one of the appointed members to preside at that meeting.
- (4) The minutes of each meeting of the Scientific Authority shall be kept in proper form and shall be confirmed as soon as practicable at a subsequent meeting.
- (5) The validity of the proceedings of the Scientific Authority shall not be affected by any vacancy amongst the members thereof or by any defect in the appointment of a member thereof.

11. A quorum shall consist a majority of the total members of the Authority. **Quorum.**
12. All documents made by, and all decisions of the Scientific Authority may be signified under the hand of the Chairman or any other member authorized to act in that behalf by the Scientific Authority. **Execution of Documents.**
13. Decisions of the Authority are to be by a majority of votes, and where voting is equal, the Chairman, in addition to his original vote, has a casting vote. **Decisions.**
14. A member of the Scientific Authority who is directly or indirectly interested in any matter which is being dealt with by the Scientific Authority **Disclosure of interest.**
- (a) shall disclose the nature of his interest at a meeting of the Scientific Authority; and
  - (b) shall not take part in any deliberations or decisions of the Scientific Authority with respect to that matter.
15. The Authority may co-opt persons to attend any of its meetings for the purpose of assisting or advising it with respect to any matter with which it is dealing, but a co-opted person is not entitled to vote and shall not be counted for the purpose of constituting a quorum. **Experts.**

## FIFTH SCHEDULE

(Section 10)



*International Trade in Endangered Species of Wild Fauna  
and Flora Act, 2005*  
(Act 2005- )

Permit for International Trade

 EXPORT

 RE-EXPORT

 IMPORT

 OTHER

1. Valid until

<p align="center">2. Importer (name and address)</p>		<p align="center">3. Exporter (name and address, country)</p>	
<p align="center">4. Country of import</p>		<p align="center">Signature of the applicant</p>	
<p align="center">5. Special conditions</p> <p align="center">For live animals. This permit or certificate is only valid if transport conditions conform to the Guidance for Transport of Live Animals or, in the case of air transport, to the IATA Live Animals Regulations</p>		<p align="center">6. Name, address, national seal/ stamp and country of Management Authority</p> <p align="center">P.S. (Environment Division) Ministry of Housing, Lands and the Environment, 1st Floor, S.P. Musson Building, Hinks Street, Barbados</p>	
<p align="center">7. Purpose if the transaction (see reverse)</p>		<p align="center">8. Security Stamp No.</p>	

9. SCIENTIFIC NAME (Genus and species) AND COMMON NAME OF ANIMAL OR PLANT		
10. Description of specimens, including identifying marks or numbers (age/sex if alive)		
11. Appendix No. and source (see reverse)		
12. Quantity (including unity)		13. Total exported/Quota
A	14. Country of origin	Permit No.
	Country of last-export	Certificate No.
Date		
Date		
No. of operation ** or date of acquisition		
B	14. Country of origin	Permit No.
	Country of last-export	Certificate No.
Date		
Date		
No. of operation ** or date of acquisition		
C	14. Country of origin	Permit No.
	Country of last-export	Certificate No.
Date		
Date		
No. of operation ** or date of acquisition		
D	14. Country of origin	Permit No.
	Country of last-export	Certificate No.
Date		
Date		
No. of operation ** or date of acquisition		

<p>* Country to which the specimens were taken from the wild, bred in captivity or artificially propagated (only in case of re-export)                  ** Only for specimens of Appendix I species bred for commercial purposes                  *** for pre-Convention specimens</p>												
<p>Ministry of Agriculture</p>												
<p>15. (a) Health Certificate</p>	<p>(b) Date</p>	<p>Office Stamp</p>										
<p>(c) Surname</p>	<p>(d) Signature</p>											
<p>16. THIS PERMIT ISSUED BY:</p>												
<p>Place</p>	<p>Date</p>	<p>Security stamp, signature and official seal</p>										
<p>17. EXPORT ENDORSEMENT:</p>	<p>18. Bill of landing/air Waybill Number</p>											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Block</th> <th style="width: 50%;">Quality</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">A</td> <td></td> </tr> <tr> <td style="text-align: center;">B</td> <td></td> </tr> <tr> <td style="text-align: center;">C</td> <td></td> </tr> <tr> <td style="text-align: center;">D</td> <td></td> </tr> </tbody> </table>	Block	Quality	A		B		C		D		<p>_____</p> <p style="text-align: center;">Port of Export                      Date</p> <p>_____</p>	
Block	Quality											
A												
B												
C												
D												
	<p>Signature</p>	<p>Official Stamp and title</p>										
<p>Management Authority</p>												